



# YEARLY STATUS REPORT - 2023-2024

## Part A

### Data of the Institution

#### 1. Name of the Institution

Uttarakhand Open University

- Name of the Head of the institution      Professor Om Prakash Singh Negi
- Designation      Vice-Chancellor
- Does the institution function from its own campus?      Yes
  
- Phone no./Alternate phone no      05946286000
- Mobile No:      8954043377
- Registered e-mail ID (Principal)      vc@uou.ac.in
- Alternate Email ID      registrar@uou.ac.in
- Address      Teenpani bypass near Transport nagar
- City/Town      Haldwani
- State/UT      Uttarakhand
- Pin Code      263139

#### 2. Institutional status

- University:      State
  
- Type of Institution      Co-education
  
- Location      Urban

- Financial Status **UGC 2f and 12(B)**
- Name of the IQAC Co-ordinator/Director **CIQA Director -Professor Girija Prasad pande**
- Phone no. (IQAC) **05946286000**
- Mobile (IQAC) **9412351759**
- Alternate e-mail address (IQAC) **gpande@uou.ac.in**
- IQAC e-mail ID **ciqa@uou.ac.in**

**3.Website address** <https://www.uou.ac.in>

**4.Website address (Web link of the AQAR (Previous Academic Year))** <https://uou.ac.in/sites/default/files/2023-12/AQAR-2022-23.pdf>

**5.Whether Academic Calendar prepared during the year?** **Yes**

- if yes, whether it is uploaded in the Institutional website Web link: <https://www.uou.ac.in/announcement/2023/11/3775>

#### 6.Accreditation Details

Cycle	Grade	CGPA	Year of Accreditation	Validity from	Validity to
<b>Cycle 1</b>	<b>B++</b>	<b>2.77</b>	<b>2022</b>	<b>18/10/2022</b>	<b>17/10/2027</b>

**7.Date of Establishment of IQAC** **23/10/2017**

**8.Provide the list of Special Status conferred by Central and/or State Government on the Institution/Department/Faculty/School (UGC/CSIR/DST/DBT/ICMR/TEQIP/World Bank/CPE of UGC, etc.)?**

Institution/ Department/Faculty/School	Scheme	Funding Agency	Year of Award with Duration	Amount
<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

**9.Whether composition of IQAC as per latest NAAC guidelines** **Yes**

- Upload latest notification of formation of IQAC [View File](#)

**10.No. of IQAC meetings held during the year 02**

- Were the minutes of IQAC meeting(s) and compliance to the decisions have been uploaded on the institutional website? **Yes**

- (Please upload, minutes of meetings and action taken report) [View File](#)

**11.Whether IQAC received funding from any of the funding agency to support its activities during the year? No**

- If yes, mention the amount

**12.Significant contributions made by IQAC during the current year (maximum five bullets)**

1. CIQA has developed the assessment sixteen point criteria for the yearly appraisal of Assistant Professor (AC).

2. CIQA has conducted One Week FDP Programme on "Capacity Building Workshop on CO-PO Mapping and Attainment" From 04th Oct 2023 to 11th Oct 2023.

3. Center for Internal Quality Assurance (CIQA) developed policy documents pertaining to various programs and activities.

4.CIQA played an important role in developing the university headquarter as green campus.

5.Initiatives were taken to improve the quality in Academic, Administrative and Financial domain of the university.

**13.Plan of action chalked out by the IQAC in the beginning of the Academic year towards Quality Enhancement and the outcome achieved by the end of the Academic year (web link may be provided).**

Plan of Action	Achievements/Outcomes
1.CIQA regularly Review & evaluation for Quality improvisations as per Yearly action plan formed by CIQA	Successfully reviewed & evacuated.
2. Ciqa developed Assessment criteria for appraisal of Assistant Professor(AC).	Successfully implemented.
3., CIQA continuously reviewing the all quality related issues and established feedback mechanism..	Successfully conducted.
4.CIQA has review and monitoring LSCs & RCs functioning and academic counselling of learner's.	Successfully reviewed & monitored.

**14.Whether the AQAR was placed before statutory body?** **Yes**

- Name of the statutory body

Name of the statutory body	Date of meeting(s)
CIQA committee/Executive council of the University	28/07/2024

**15.Whether NAAC/or any other accredited body(s) visited IQAC or interacted with it to Assess the functioning?** **Yes**

**16.Whether institutional data submitted to AISHE**

## Part A

### Data of the Institution

<b>1.Name of the Institution</b>	Uttarakhand Open University
• Name of the Head of the institution	Professor Om Prakash Singh Negi
• Designation	Vice-Chancellor
• Does the institution function from its own campus?	Yes
• Phone no./Alternate phone no	05946286000
• Mobile No:	8954043377
• Registered e-mail ID (Principal)	vc@uou.ac.in
• Alternate Email ID	registrar@uou.ac.in
• Address	Teenpani bypass near Transport nagar
• City/Town	Haldwani
• State/UT	Uttarakhand
• Pin Code	263139
<b>2.Institutional status</b>	
• University:	State
• Type of Institution	Co-education
• Location	Urban
• Financial Status	UGC 2f and 12(B)
• Name of the IQAC Co-ordinator/Director	CIQA Director -Professor Girija Prasad pande

• Phone no. (IQAC)	05946286000				
• Mobile (IQAC)	9412351759				
• Alternate e-mail address (IQAC)	gpande@uou.ac.in				
• IQAC e-mail ID	ciqa@uou.ac.in				
<b>3.Website address</b>	<a href="https://www.uou.ac.in">https://www.uou.ac.in</a>				
<b>4.Website address (Web link of the AQAR (Previous Academic Year))</b>	<a href="https://uou.ac.in/sites/default/files/2023-12/AQAR-2022-23.pdf">https://uou.ac.in/sites/default/files/2023-12/AQAR-2022-23.pdf</a>				
<b>5.Whether Academic Calendar prepared during the year?</b>	Yes				
• if yes, whether it is uploaded in the Institutional website Web link:	<a href="https://www.uou.ac.in/announcement/2023/11/3775">https://www.uou.ac.in/announcement/2023/11/3775</a>				
<b>6.Accreditation Details</b>					
Cycle	Grade	CGPA	Year of Accreditation	Validity from	Validity to
Cycle 1	B++	2.77	2022	18/10/2022	17/10/2027
<b>7.Date of Establishment of IQAC</b>			23/10/2017		
<b>8.Provide the list of Special Status conferred by Central and/or State Government on the Institution/Department/Faculty/School (UGC/CSIR/DST/DBT/ICMR/TEQIP/World Bank/CPE of UGC, etc.)?</b>					
Institution/ Department/Faculty/School	Scheme	Funding Agency	Year of Award with Duration	Amount	
Nil	Nil	Nil	Nil	Nil	
<b>9.Whether composition of IQAC as per latest NAAC guidelines</b>			Yes		
• Upload latest notification of formation of IQAC			<a href="#">View File</a>		
<b>10.No. of IQAC meetings held during the year</b>			02		
• Were the minutes of IQAC meeting(s)			Yes		

and compliance to the decisions have been uploaded on the institutional website?	
<ul style="list-style-type: none"> <li>(Please upload, minutes of meetings and action taken report)</li> </ul>	<a href="#">View File</a>
<b>11. Whether IQAC received funding from any of the funding agency to support its activities during the year?</b>	No
<ul style="list-style-type: none"> <li>If yes, mention the amount</li> </ul>	
<b>12. Significant contributions made by IQAC during the current year (maximum five bullets)</b>	
1. CIQA has developed the assessment sixteen point criteria for the yearly appraisal of Assistant Professor (AC).	
2. CIQA has conducted One Week FDP Programme on "Capacity Building Workshop on CO-PO Mapping and Attainment" From 04th Oct 2023 to 11th Oct 2023.	
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4. CIQA played an important role in developing the university headquarter as green campus.	
5. Initiatives were taken to improve the quality in Academic, Administrative and Financial domain of the university.	
<b>13. Plan of action chalked out by the IQAC in the beginning of the Academic year towards Quality Enhancement and the outcome achieved by the end of the Academic year (web link may be provided).</b>	

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<b>14.Whether the AQAR was placed before statutory body?</b>	<b>Yes</b>
<ul style="list-style-type: none"> <li>Name of the statutory body</li> </ul>	
Name of the statutory body	Date of meeting(s)
CIQA committee/Executive council of the University	28/07/2024
<b>15.Whether NAAC/or any other accredited body(s) visited IQAC or interacted with it to Assess the functioning?</b>	<b>Yes</b>
<b>16.Whether institutional data submitted to AISHE</b>	
Year	Date of Submission
2022-23	03/11/2024
<b>17.Multidisciplinary / interdisciplinary</b>	
The University has adopted NEP 2020 and it is implemented form the academics session 2023-24. Provisions for opting Multydisciplinary/Interdisciplinary combinationsv will be offered accordingly.	



<b>18.Academic bank of credits (ABC):</b>
Academic bank of credits(ABC) are introduced form sessions 2022-23.
<b>19.Skill development:</b>
The university has identify many Skill oriented program for the larger interst of the learners which will be offered form current Acedemic session.
<b>20.Appropriate integration of Indian Knowledge system (teaching in Indian Language, culture, using online course)</b>
The university has introduced component of Indian Knowledge System(IKS) various Post Graduate ,Graduate, Diplomas and certificate courses as per UGC Guidelines.
<b>21.Focus on Outcome based education (OBE):Focus on Outcome based education (OBE):</b>
To devloped indian value & ethics in learners. Enhancing the holistics knowledge of the subect and its phlosophy. Achieving the required GER as per NEP 20202.
<b>22.Distance education/online education:</b>
UOU is single mode State Open University therefore, offering programs as per UGC DEB Norms.

<b>Extended Profile</b>	
<b>1.Programme</b>	
1.1 Number of programmes offered during the year:	<b>90</b>
1.2 Number of programmes offered during the year, Please provide consolidated number of Programs offered during the year without repeat count, including the programmes that are dropped)	<b>104</b>
1.3 Number of learners admitted afresh in first-year during the year	<b>30956</b>
1.4 Number of learners enrolled during the year	<b>99387</b>
1.5 Number of courses offered by the institution across all programs during the year	<b>1088</b>
1.6 Total Number of Courses offered by the institution in all programs (without repeat count and include courses that are dropped)	<b>1088</b>
<b>2.Student</b>	
2.1 Number of graduating students during the year	<b>13207</b>
2.2 Number of enrolled learners in the preceding academic year registered for term end examination	<b>102231</b>
2.3 Number of registered learners in the preceding academic year appeared for term end examination	<b>94555</b>
2.4 Number of learners in the preceding academic year passed in the	<b>42490</b>

term end examination	
<b>3.Institution</b>	
4.1 Total expenditure, excluding salary, during the year (INR in Lakhs):	5641.00
<b>4.Teacher</b>	
5.1 Number of sanctioned posts for the year:	70
5.2 Number of full-time teachers during the year:	48
5.3 Number of other academics in position against the sanctioned posts	0
5.4 Number of Full time Academic consultants employed	96
5.5 Total number of full time teachers worked in the institution during the year (Please include the teachers who left / joined the institution during the assessment period without repeat counts:	48
5.6 Total number of other academics worked in the institution during the year (Please include the other academics who left / joined the institution during the assessment period without repeat counts	96
<b>Part B</b>	
<b>CURRICULAR ASPECTS</b>	
<b>1.1 - Curriculum Planning Design and Development</b>	
1.1.1 - Relevance of curricula planned, designed and developed/adopted	
The planning, preparing, creating, and development of the curriculum of Uttarakhand Open University is a methodical procedure. The university's faculty members keep a close eye on the	

curriculum. The relevant department holds thorough consultations before starting any study program, taking into account the demands of the stakeholders on a local, regional, social, national, and international level. Following that, appropriate debate occurs in departmental meetings. The concerned department then asks the relevant higher authorities for administrative authorisation to prepare a PPR based on a feasibility assessment that includes a field survey and stakeholder meetings. Consequently, following the creation of the PPR (which includes every feature listed in Annexure V of the UGC (ODL) Regulations 2020),

File Description	Documents
Curricula implemented by the University	<a href="https://www.uou.ac.in/ppr">https://www.uou.ac.in/ppr</a>
Mapping of curricula to Programme Outcomes	<a href="https://www.uou.ac.in/ppr">https://www.uou.ac.in/ppr</a>
Outcome analysis of Programme Specific Learning Outcomes and Course Learning Outcomes	<a href="https://www.uou.ac.in/ppr">https://www.uou.ac.in/ppr</a>
Minutes of the relevant BoS/ School Board / Academic Council	<a href="https://www.uou.ac.in/mom">https://www.uou.ac.in/mom</a>
Any other relevant information	<u>Nil</u>

### **1.1.2 - New Programmes introduced - Percentage of programmes newly introduced by the institution during the year**

**23**

#### **1.1.2.1 - Number of new programmes introduced during the year**

**21**

File Description	Documents
Minutes of relevant Academic Council/ School Board /BoS meetings	<a href="#">View File</a>
Details of the Curricula/Syllabi of the new programmes during the year	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 1.1.3 - Revision of Programmes - Percentage of Programmes revised during the year

6.22

#### 1.1.3.1 - Total number of Programmes revised during the year

5

File Description	Documents
Minutes of relevant Academic Council/BoS meetings	<a href="#">View File</a>
Details of the revised Curricula/Syllabi of the programmes during the year	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 1.1.4 - Courses being offered as MOOCs or using OERs. - Percentage of courses being offered as MOOCs or using OERs to supplement the existing courses (data for the preceding academic year)

28

#### 1.1.4.1 - Number of courses being offered as MOOCs or using OERs to supplement the existing courses (data for the preceding academic year)

28

File Description	Documents
Web-link to the list of MOOCs approved	<a href="https://elearning.uou.ac.in/">https://elearning.uou.ac.in/</a>
Curriculum/ Syllabus of the courses being offered as MOOCs or using OERs	No File Uploaded
Minutes of the Boards of Studies/ School Boards/ Academic Council meetings for approvals of these courses	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**1.1.5 - Electronic media and other digital components in the curriculum - Percentage of the Courses on offer that have incorporated electronic/digital media and other digital components in their curriculum delivery during the year**

.05

**1.1.5.1 - Total number of the Courses on offer have incorporated electronic/ digital media and other digital components in their curriculum during the year**

30

File Description	Documents
Details of Programmes incorporating electronic media and other digital components offered during the year	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**1.2 - Academic Flexibility**

**1.2.1 - Programmes being adopted/adapted by other HEIs - Percentage of programmes adopted/adapted by other HEIs through formal MOU during the year**

00

**1.2.1.1 - Number of programmes adopted/adapted by other HEIs during the year**

00

File Description	Documents
MOU for programmes adopted/adapted by other HEIs	No File Uploaded
Details of Programme	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**1.2.2 - Implementation of CBCS / ECS - Percentage of Programmes (UG/PG) in which Choice Based Credit System CBCS/ Elective Course System (ECS) has been implemented (Data of the latest completed academic year)**

0

**1.2.2.1 - Number of Programmes (UG/PG) in which Choice Based Credit System CBCS/ Elective Course System (ECS) has been implemented (Data of the latest completed academic year)**

nil

File Description	Documents
Minutes of relevant Academic Council/BoS/ School Board meetings for implementation of CBCS	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**1.2.3 - Enabling provision for lateral entry for learners - Percentage of learners admitted in the Institution through lateral entry during the year**

0.0048

**1.2.3.1 - Number of admitted strength in programs where lateral entry is provisioned**

141

File Description	Documents
Credit transfer policy	No File Uploaded
List of programmes having provision for lateral entry	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 1.2.4 - Enabling provision for modular approach Provision for modular approach for flexible exit to the learners

The concept of modular approach enables a learner to have multiple entry points and multiple exit points. In Uttarakhand Open University in many programmes of study, multiple entry points have been enabled. The following programmes have the provisions for multiple entries:

##### BA Yoga

M.A. (Journalism and Mass Communication) Others (In all the other programmes also lateral entry is permitted in the cases of transfer from other institutions, subject to matching of syllabus).

Learner who has completed PGDCA is allowed admission in the second year of BCA programme and a learner who has completed Diploma in Yoga is permitted to take admission in the second year of BA Yoga. Similarly, and those who have done their Diploma in Journalism are given admission in the second year of MA (Journalism and Mass Communication). Thus, in Uttarakhand Open University, at the moment, modular approach is being followed in a partial manner only.

File Description	Documents
The list of Programmes having modular approach with flexible exit options for the learners	<a href="https://www.uou.ac.in/sites/default/files/2023-04/PPR-BCA-2023-24.pdf">https://www.uou.ac.in/sites/default/files/2023-04/PPR-BCA-2023-24.pdf</a> , <a href="https://www.uou.ac.in/sites/default/files/2023-07/BACHELOR%20OF%20ARTS%20%28HONS%29%28YOGA%29.pdf">https://www.uou.ac.in/sites/default/files/2023-07/BACHELOR%20OF%20ARTS%20%28HONS%29%28YOGA%29.pdf</a> , <a href="https://www.uou.ac.in/sites/default/files/2023-07/MASTER%20OF%20ARTS%28JOURNALISM%20%26%20MASS%20COMMUNICATION%29.pdf">https://www.uou.ac.in/sites/default/files/2023-07/MASTER%20OF%20ARTS%28JOURNALISM%20%26%20MASS%20COMMUNICATION%29.pdf</a>
Any other relevant information	Nil

### 1.3 - Curriculum Enrichment

1.3.1 - Institution integrates crosscutting issues Institution integrates crosscutting issues relevant to Gender, Environment and Sustainability Human Values, Emerging Demographic changes and Professional Ethics in the curricula

The cross-cutting issues like gender, environmental sustainability, human values, emerging demographic changes and professional ethics etc., find sufficient space in various



programmes offered by the University. The curriculum designed by the university for its various popular certificate/diploma/graduate/ post graduate programmes does include many aspects of environmental sustainability, human values, demography, gender, etc.

In order to increase awareness about such cross-cutting issues, the University initiated a course on 'Gender School and Society' in its Bachelor of Education (B.Ed.) programme. Environment plays an important role for all of us and it ensures a healthy life for people. It matters a lot because it is essential for human beings. In this regard, therefore, the University has designed curriculum for environmental sustainability in diploma, graduate and post graduate programmes. Such courses of study are-Sustainable Development in Hill Areas (MA- Sociology); Environmental Studies (BA- I Year); etc.

The values, which are considered the basic inherent values in humans include truth, honesty, loyalty, love, peace, etc., are included in BA- Yoga, BBA, MBA, etc. Demography is very useful for understanding social-economic problems and identifying potential solutions. Course on Demography is included in Master of Economics, which focuses on the demography of state/country.

File Description	Documents
List of courses that integrate crosscutting issues mentioned above	<a href="https://www.uou.ac.in/ppr">https://www.uou.ac.in/ppr</a>
Description of the courses which address Gender, Environment and Sustainability, Human Values, Emerging Demographic changes and Professional Ethics in the Curricula	<a href="http://www.uou.ac.in/agar/23-24/1.3.1">http://www.uou.ac.in/agar/23-24/1.3.1</a>
Any other relevant information	Nil

### 1.3.2 - Awareness/ soft skills / life skills/value-added courses etc., on offer

#### 1.3.2.1 - Number of Value-added courses imparting life skills and soft skills being offered by the Institution during the year

51

File Description	Documents
Brochure relating to the listed courses	<a href="#">View File</a>
Course content of the Value-added courses	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 1.3.3 - Learners undertaking fieldwork / projects / internships etc. - Percentage of learners undertaking field work / projects / internships leading to submission of dissertation / Reports

0.0178

#### 1.3.3.1 - Number of learners undertaking field work / projects / internships leading to submission of dissertation / Reports (data for the latest completed academic year)

267

File Description	Documents
Link to Programme structure(s)	<a href="https://www.uou.ac.in/progdetail?pid=MSCCH-21">https://www.uou.ac.in/progdetail?pid=MSCCH-21</a> , <a href="https://www.uou.ac.in/progdetail?pid=DPHCN-21">https://www.uou.ac.in/progdetail?pid=DPHCN-21</a> , <a href="https://www.uou.ac.in/sites/default/files/slm/DPHCN-08.pdf">https://www.uou.ac.in/sites/default/files/slm/DPHCN-08.pdf</a> , <a href="https://www.uou.ac.in/progdetail?pid=MAHS-21">https://www.uou.ac.in/progdetail?pid=MAHS-21</a>
Handbook/Manual for field work/ projects / internships.	<a href="#">View File</a>
List of learners enrolled in Programme involving field work/ projects / internships etc.	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 1.3.4 - Courses on employability/ entrepreneurship/ skill development - Percentage of courses on offer has focus on employability/ entrepreneurship/ skill development during the year

7.11

#### 1.3.4.1 - Number of courses having focus on employability/ entrepreneurship/ skill development during the year

92

File Description	Documents
Link to programme structure and syllabus of such courses having focus on Employability/ Entrepreneurship/ Skill development	<a href="https://www.uou.ac.in/ppr">https://www.uou.ac.in/ppr</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 1.4 - Feedback System

**1.4.1 - Feedback for design and review of curriculum Mechanism is in place for obtaining structured feedback on curricula/syllabi from various stakeholders Structured feedback has been designed for review of curriculum/syllabus for the preceding academic year 1) Learners 2) Teachers and other Academics 3) Academic Counsellors 4) External Subject Experts 5) Employers 6) Alumni**

**A. Any 4 or more of the above**

File Description	Documents
20 sample filled in Feedback forms in each category opted for the metrics	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**1.4.2 - Action on feedback (feedback collection, analysis and action taken) Mechanism is in place for analyzing the Feedback obtained from stake holders on curriculum/syllabus for the preceding academic year**

**B. Feedback collected, analyzed and action has been taken**

File Description	Documents
Stakeholder feedback report	<a href="#">View File</a>
Action taken report of the Institution on feedback report as stated in the minutes of the Governing Council/ Syndicate/ Board of Management	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

## TEACHING-LEARNING AND EVALUATION

### 2.1 - Learner Enrollment

#### 2.1.1 - Average variation in enrolment of learners in the Institution during the year

99387

File Description	Documents
Total enrollment data during the year authenticated by Registrar of the University	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

2.1.2 - Efforts for reaching the unreached Efforts undertaken by the Institution for reaching out to the persons who do not have access to higher education

For the purpose of reaching the unreached, the university has developed various useful and concrete strategies as given:  
**Promotional activities:** through distribution of leaflets, hand outs, articles/advertisements published in newspapers/radio channels, organization of seminars, symposia and discussion sessions. **Learner Support Centres (LSC):** Established LSC to cater of the needs of SC, ST, women, elderly, transgender, persons with disabilities, persons living in rural and remote areas etc. **Offering Free online courses:** "Free Online Course on Computer Fundamentals" to for those people who cannot afford the coaching or tuition fee. **Promotion of local language:** Promote the local languages (Kumauni, Garhwali, Japani, Nepali, urdu etc) under the National Education Policy. **Special B.Ed programmes:** focuses on providing special and advanced skills and training to teachers to educate children with physical and mental disorders. University provided NPTEL Local Chapter in UOU, partnership with IIT Bombay

to enhance the future prospects of the learners by taking Skills and Certificates on various software courses ([www.spoken-tutorial.org](http://www.spoken-tutorial.org)). The University opened one of its LSC at Adarsh Karagaar Sitarganj. Community radio (92.1 FM) being utilized for creating awareness. Adoption of number of villages for upliftment of rural communities. Access to study materials through its digital repository i.e., YouTube videos, e-SLM etc.

File Description	Documents
Documents on efforts taken for reaching the unreached	<a href="http://www.uou.ac.in/agar/2023-24/2.1.2">http://www.uou.ac.in/agar/2023-24/2.1.2</a>
Any other relevant information	Nil

## 2.2 - Catering to Learner Diversity

### 2.2.1 - Catering to rural population - Percentage of learners enrolled from rural areas during the year

76.80

#### 2.2.1.1 - Total number of learners enrolled from rural areas during the year

76339

File Description	Documents
Number of rural learners authenticated by Registrar of the University	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 2.2.2 - Reaching out to learners from socially backward categories - Percentage of learners enrolled across different socially backward categories during the year

37.29

#### 2.2.2.1 - Number of learners admitted against SC/ST/OBC and other reserved categories as per GOI norms

37066

File Description	Documents
Number of SC, ST and OBC learners authenticated by Registrar of the University	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 2.2.3 - Reaching out to Persons with Disabilities (PwD) - Percentage of PwD learners enrolled during the year

0.0012

#### 2.2.3.1 - Number of learners enrolled from Divyangjans categories during the year

125

File Description	Documents
Number of PwD learners authenticated by Registrar of the University	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Document submitted by the Institution to a Government agency giving this information	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 2.2.4 - Reaching out to women / Transgender learners -Percentage of Women learners enrolled during the year

49.86

#### 2.2.4.1 - Total number of Women / Transgender learners enrolled from during the year

49556

File Description	Documents
Number of Women / transgender learners authenticated by Registrar of the University	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**2.2.5 - Reaching out to employed persons - Percentage of the employed learners who are enrolled during the year**

10.82

**2.2.5.1 - Number of employed learners (including self employed) enrolled during the year**

10763

File Description	Documents
Number of employed learners authenticated by Registrar of the University	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**2.2.6 - Learners from Special Target Group: prison inmates - Average number of prison inmates enrolled as learners during the year**

0.00003

**2.2.6.1 - Number of prison inmates enrolled as learners during the year**

3

File Description	Documents
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded
Number of prisoners enrolled authenticated by Registrar of the University	<a href="#">View File</a>

**2.2.7 - Learners from Defense and Security Forces - Average number of persons from Defense and Security Forces background namely: Defense / Security Personnel, Ex Service men/ War widows enrolled as learners during the year**

0.0069

**2.2.7.1 - Number of persons from Defense and Security Forces background namely: Defiance / Security Personnel, Ex Service men/ War widows enrolled as learners during the year**

695

File Description	Documents
Number of learners from defense/security background authenticated by Registrar of the University	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

## 2.3 - Teaching- Learning Process

### 2.3.1 - Development of Self-Learning Material (SLM) in Print

Self-Instructional Learning Material, ?? SILM, is developed systematically and in multiple stages? Following are some of them: BOS and Expert Committees Constitution: Before starting any programme, an expert committee is formed? It discusses course contents, possible unit writers, credits, and other modalities related to the course/program? In this meeting, the list of unit-writers is finalized, and the BOS receives the recommendations of the expert committees? Formatting or editing the SILM that was received from the unit writers: The Department receives plagiarism-free material in accordance with strict guidelines.

Self-Instructional Learning Material (SILM) is developed systematically and in multiple stages? Some of them are: Self-Instructional Learning Material (SILM) is developed systematically and in multiple stages? Some of them are: BOS and Expert Committees Constitution:An expert committee is formed before any programme is launched? It talks about course material, potential unit writers, credits, and other modalities related to the course or program? The list of unit-writers is finalized during this meeting, and the BOS receives the recommendations of the expert committees? Formatting or changing the SILM that was received from the unit writers: strict guidelines are followed when the department receives plagiarism-free material.

File Description	Documents
Policy document on SLM	<a href="https://uou.ac.in/sites/default/files/2021-11/slm-handbook-21.pdf">https://uou.ac.in/sites/default/files/2021-11/slm-handbook-21.pdf</a>
Any other relevant information	Nil



**2.3.2 - Use of Radio for providing instruction - Percentage of programmes where radio has been used for providing instruction in the latest completed academic year**

20

**2.3.2.1 - Number of programmes where radio has been used for providing instruction in the latest completed academic year**

21

File Description	Documents
Proof of radio broadcasting with schedules of the programs	No File Uploaded
Schedules of the above activities	No File Uploaded
As per Data template	No File Uploaded
Any other relevant information	No File Uploaded

**2.3.3 - Use of telecast / webcast for providing instruction - Percentage of programmes where telecast / webcast (TV broadcast, teleconferencing, web conferencing / webinars, etc) for providing instructions in the latest completed academic year**

5

**2.3.3.1 - Number of programmes where telecast / webcast (TV broadcast, teleconferencing, web conferencing / webinars, etc) for providing instructions in the latest completed academic year**

4.12

File Description	Documents
Proof of tele- broadcasting with schedules of the programs	<a href="#">View File</a>
Schedules of the above activities	No File Uploaded
As per the data template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**2.3.4 - Availability of digitized SLMs for the learners - Percentage of programs having access to online SLMs**

81.11

**2.3.4.1 - Number of learning material of the Institution digitized and the SLMs uploaded on the website / Online Repository/ e-content app / LMS for their availability to the learners during the year**

73

File Description	Documents
Links to Digital repository of SLMs	<a href="https://elearning.uou.ac.in/course/index.php?categoryid=20">https://elearning.uou.ac.in/course/index.php?categoryid=20</a>
Any other relevant information	<a href="#">View File</a>
Data template in Section B	No File Uploaded

2.3.5 - Institutional Mechanism to provide academic counseling support An Institutional mechanism is in place to provide academic counselling support to learners enrolled in different programs including strategies for learner participation and engagement as well as development of required competencies and skills

The university has a clearly defined institutional structure for providing students with academic counselling support, which is an essential component of the institution. The following is a synopsis of this mechanism: 1. In order to earn 10% of the credits, the university has mandated that all LSCs complete required counselling sessions. Under its own direct supervision, the university established two Model LSCs: one at the Dehradun Campus and one at the university's headquarters in Haldwani. These two model LSCs are where university faculty members attend in-person counselling sessions. Webinars and online counselling via the LMS are used to supplement current counselling. In accordance with the course curriculum, UOU academics and external experts offer video lectures and radio talks to aid students in deepening their comprehension. 2. Special Counselling Sessions: In addition to various LSCs, several university departments also host special counselling sessions, which fall into one of two categories: Counselling is required: Seven to ten days of mandatory counselling are held in various places throughout the university's domain area, primarily in practical subjects like yoga, music, physics, chemistry, botany, zoology, geography, and education. External specialists are also invited to participate in these counselling sessions. The purpose of voluntary counselling is to supplement the academic counselling services that students receive from their individual LSCs; it is not required. It aids students in gaining the knowledge and abilities needed for high-quality project work and fieldwork-specific activities.

File Description	Documents
Schedules of different counseling activities	<a href="https://www.uou.ac.in/counselling">https://www.uou.ac.in/counselling</a>
Report of academic Counseling sessions	<a href="https://www.uou.ac.in/counselling">https://www.uou.ac.in/counselling</a>
Any other relevant information	Nil

## 2.4 - Teachers and other Academics- Profile and Quality

### 2.4.1 - Full-time teachers and other academics in positions – Percentage of the sanctioned posts occupied by full-time teachers and other academics respectively during the year

65.71

### 2.4.2 - Full-time teachers and other academics with Ph.D. - Percentage of full-time teachers and other academics with Ph.D. degree

89.13

#### 2.4.2.1 - Number of full-time teachers and other academics with Ph.D. degree

95

File Description	Documents
Number of teachers and other academics with PhD	<a href="#">View File</a>
As per the Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 2.4.3 - Programmes on offer through Collaboration - Programmes offered which are developed through collaboration with Government / other agencies

00

#### 2.4.3.1 - Number of Programmes offered which are developed through collaboration with Government / other agencies during the year

00

File Description	Documents
Copies of MoUs with other agencies	No File Uploaded
Minutes of relevant Academic Council/BoS/ School Board meetings	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

#### 2.4.4 - Percentage of in-house faculty involved in design and development of SLMs during the year

95

##### 2.4.4.1 - Number of in-house faculty involved in design and development of SLMs during the year

148

File Description	Documents
Minutes of relevant Academic Council/BoS/ School Board meetings	<a href="#">View File</a>
Credit page of Blocks/ Courses	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 2.4.5 - Recognition earned by full time teachers and other academics

##### 2.4.5.1 - Number of full time teachers who received awards, fellowships, recognition etc. from state /national /international level, Government recognized bodies during the year

1

File Description	Documents
Scanned copies of award/ appointment letters	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 2.4.6 - Learner : Academic Counselor ratio

**2.4.6.1 - Number of empanelled Academic Counselors for the latest completed academic year:**

1364

File Description	Documents
Number of Academic Counsellors with details of total teaching experience for the preceding academic year	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**2.5 - Evaluation Process and Reforms**

2.5.1 - Process of conduct of Term-end examination Process of conduct of Term End Examination and efforts done for fair and smooth conduct of the examination

**PROCESS OF CONDUCT OF END TERM EXAMINATION**

The term-end examination process of University involves several steps to ensure a fair and efficient assessment of the learner's knowledge and understanding.

Concise overview of the process:

**Exam Scheduling and Notification:** University prepare an exam schedule, detailing dates, times, and exam centre for learners for different courses.

**Admit Card Issuance:** Admit cards are provided to registered learners, containing essential details such as exam centre, exam date, and course code.

**Question Paper Preparation:** Qualified subject experts create question papers that align with the course curriculum and learning objectives.

**Secure Distribution:** Exam papers are securely distributed to exam centres to maintain confidentiality to prevent leaks or unfair practices.

**Answer Sheet Collection:** Once the exam concludes, invigilators collect the answer sheets, ensuring they are correctly labeled and organized.

**Centralized Evaluation:** Collected answer sheets are securely transported to a University. Qualified examiners assess answers impartially, adhering to established marking schemes.

**Result Compilation:** Compilation of Marks and final scores are calculated based on pre-defined grading criteria.

**Result Declaration:** After Tabulation, results are declared and made available to learners through our websites.

**Certificate Issuance:** Successful learners receive course completion certificates or transcripts, officially recognizing their achievements in the University.

2.5.2 - Examination related Grievances Mechanism of the Institution to deal with examination related grievances in a transparent manner

The University's web page has an online link called "Register your complaints/questions in the student's corner." You can ask a question about anything, including exams. The concerned party is tasked with answering the raised question. Any student can contact the university by using the appropriate channels. The Registrar's Office forwards the grievance to the relevant area. Students can send their questions directly to the examination section's email address. Additionally, grievances can be filed using the Samadhan Portal and UGC. The students can ask for inspection, get copies of their answer books, and represent themselves in order to address their complaints. After scrutiny, any questions that are left unchecked are evaluated or the left-out marks are added. If, following examination, a candidate's score rises by 10%, the marks will reflect that. The prior marks will be kept if the marks decrease. In addition to submitting a representation to the COE, who consults with subject-matter experts, the students might choose to acquire a certified Xerox copy of their answer sheets. With the VC's approval, the COE may designate a new examiner to review answer sheets.

File Description	Documents
Any other relevant information	Nil
Standard Operating Procedures related to Term End Examination related Grievances	<a href="https://www.uou.ac.in/sites/default/files/2021-10/sop-exam.pdf">https://www.uou.ac.in/sites/default/files/2021-10/sop-exam.pdf</a>

2.5.3 - Formative Assessment Standard Operating Procedures employed for continuous (internal)

assessment followed by the Institution

An essential component of the learning process is assessment. In this regard, the ODL system's evaluation has taken on a new form to benefit teachers and administrators while also giving its students superior assessment judgements. The university uses end-of-term assignments, student projects, and dissertations as a formative assessment tool. Self-assessment activities, ongoing evaluation through computer-marked and tutor-marked assignments, and term-end exams make up UOU's three-tiered evaluation system. - self-assessment tasks, term-end exams, and ongoing evaluation through computer-marked and tutor-marked assignments. In accordance with the UGC Regulations, term-end exams and assignments now make up 70% and 30% of the overall weight, respectively, instead of the previous 20% and 80%. Assignments, the personal contact program, and workshop-related activities including laboratory workshops, practicals, micro-teaching, community involvement, fieldwork, school-based activities, hands-on activities, seminars, group discussions, etc. are all included in formative assessment. Term-end exams, projects, and dissertation/thesis evaluations are all included in summative evaluation.

File Description	Documents
Policy documents on Evaluation Methodology	<a href="https://uou.ac.in/downloads/policy-document-on-evaluation-methodology.pdf">https://uou.ac.in/downloads/policy-document-on-evaluation-methodology.pdf</a>
Any other relevant information	Nil

**2.5.4 - Status of automation of Examination/ Evaluation processes Status of automation of examination / evaluation processes is represented by:**

A. 100% automation of entire Division & implementation of Examination Management System (EMS)

File Description	Documents
Current Manual of examination automation system	<a href="#">View File</a>
Annual reports of examination including the present status of automation	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

<p><b>2.5.5 - Involvement of external subject experts in evaluation process Extent of involvement of external subject experts and other academics in the evaluation related activities in the preceding academic year: 1. Evaluation of Assignments 2. Evaluation of Projects 3. Preparation of Term End question papers 4. Moderation of Term End question papers 5. Evaluation of answer scripts 6. Examination related duties as invigilator, observer etc.</b></p>	<p><b>B. Any 3 of the above</b></p>
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File Description	Documents
Link to list of evaluators	<a href="https://www.uou.ac.in/aqar/2023-24/2.5.5">https://www.uou.ac.in/aqar/2023-24/2.5.5</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

## 2.6 - Learner Performance and Learning Outcomes

2.6.1 - Programme Outcomes The Institution has stated Graduate Attributes / Programme Outcomes, Programme Specific Outcomes and Course Outcomes which are integrated into the assessment process

Uttarakhand Open University Haldwani is running various programs through a defined process wherein the PPRs (URL: <http://www.uou.ac.in./ppr>) for each program are carefully prepared. All these PPRs contain the course objective and program objective of the concerned course/program. All the PPRs, which have been uploaded on the website of the university through weblink and as per the data templates are framed by the course coordinators/faculties by keeping the mind the course outcome and the program outcome. Similarly, paper setters are also advised to be concerned with the page of the syllabus which incorporates learning objectives and program outcomes as well. Moreover, all the assignments, counseling, special Counselling, workshops, and question papers are all related to course and program outcomes. All study and learning materials (SLM) are the result of program and course outcomes. Special counselling sessions are extremely beneficial in orienting learners to the program outcomes. Furthermore, the paper-setters are supplied the course materials, the level of learning required of the specific learners, and the course results to guarantee that the course and program outcome are met. The PPR for each program contains thorough information about the course and program outcomes.



File Description	Documents
Graduate Attributes / Programme Outcomes, Programme Specific Outcomes and Course Outcomes	<a href="https://www.uou.ac.in/ppr">https://www.uou.ac.in/ppr</a>
Any other relevant information	Nil

### 2.6.2 - Percentage of completion status of UG and PG degree programmes with in specified period

61.94

#### 2.6.2.1 - Number of UG learners enrolled in first year of the present assessment period.

File Description	Documents
Link to declaration of results	<a href="https://www.uou.ac.in/results-main?sid=21">https://www.uou.ac.in/results-main?sid=21</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 2.7 - Learner Satisfaction Survey

#### 2.7.1 - Online Learner Satisfaction Survey regarding teaching-learning process

90111

File Description	Documents
Database of all currently enrolled learners	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

### RESEARCH, INNOVATIONS AND EXTENSION

#### 3.1 - Promotion of Research and Facilities

3.1.1 - Policy for promotion of research The Institution has a well defined policy for promotion of systemic and discipline based research. Also, explain the assigned budget for research and its utilization, methods for implementation and monitoring.

Research and Development is a systematic process to discover a solution to problems, for creating new knowledge and concepts. Uttarakhand Open University is committed to the target of excellence in research and aims to achieve national and international recognition through inter-departmental and inter-institutional collaborative research programmes.

Considering all the facts/points mentioned above, The Directorate shall ensure for promoting quality research and thriving research culture/environment in the University. The Directorate will advertise about Ph.D. program in leading National, Local Newspapers and on university website to attract the brightest minds to work on research. In order to inform current and prospective students about research efforts of the University's, the Directorate will hold meetings and talks at study, regional centers, and other institutions. Additionally, the Directorate will encourage faculty members who are pursuing research in higher education, ODL, and their respective fields. As a policy measure, the Directorate shall assist the faculty and researchers in seeking financial aid, assistance, and scholarships from Government and Private Organisations.

The Directorate shall ensure that;

Adherence to ethical standards and norms Integration of technology in various activities.

Adopt innovative practices in teaching and learning. Proper documentation of all the procedures and activities.

File Description	Documents
Minutes of the Governing Council/ Syndicate/Board of Management / Academic Council/ Research Council related to research promotion policy adoption	<a href="https://uou.ac.in/mom-dri">https://uou.ac.in/mom-dri</a>
Policy document on promotion of research	<a href="https://uou.ac.in/uploads/research-promotion.pdf">https://uou.ac.in/uploads/research-promotion.pdf</a>
Any other relevant information	Nil

<p><b>3.1.2 - Research facilities for teachers, other academics and learners</b> Research facilities available to the teachers, other academics and learners of the Institution for pursuing research</p> <p><b>1. Reference Library</b></p> <p><b>2. Online subscription to research journals</b></p> <p><b>3. Research/Statistical Databases</b></p> <p><b>4. Media Laboratory / studios</b></p> <p><b>5. Science laboratories</b></p> <p><b>6. Computing Laboratory and support for both qualitative and quantitative data analysis including softwares</b></p> <p><b>7. Data curation and sharing facility</b></p> <p><b>8. Language laboratory</b></p> <p><b>9. Central Instrumentation Centre</b></p>	<p><b>A. Any 6 or more of the above</b></p>
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File Description	Documents
URLs of the available facilities	<a href="http://www.uou.ac.in/agar/2023-24/3.1.2">http://www.uou.ac.in/agar/2023-24/3.1.2</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 3.2 - Resource Mobilization for Research

#### 3.2.1 - Government and Non-government grants for research

**3.2.1.1 - Grants for research projects and Chairs sponsored by the government and non-government sources such as Industry, Corporate Houses, International bodies, endowments, professional associations etc has been received by the Institution during the year (INR in Lakhs)**

**3692000.00**

File Description	Documents
Award letters for research projects sponsored by government and non-government	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**3.2.2 - Research projects funded to teachers – Number of research projects funded by the institution / government and non-government agencies per teacher**

**10**

File Description	Documents
List of research projects	<a href="#">View File</a>
Document from Funding Agency	<a href="#">View File</a>
Link of the funding agency website	<a href="https://www.userc.in/">https://www.userc.in/</a> , <a href="https://www.ucost.in/">https://www.ucost.in/</a> , <a href="https://ucb.ac.in/">https://ucb.ac.in/</a>
As per Data Template	No File Uploaded

### 3.3 - Innovation Ecosystem

3.3.1 - Innovative initiatives of the Institution Institution has taken initiatives for creating an ecosystem for Innovation by establishing Innovation Centre/Cell. The institution has also taken innovative initiatives by providing access to diversified learner groups

Providing education opportunities to every door by providing access to study in remote areas

With the help of study centers at the doorsteps of Uttarakhand states, educational materials are being provided to the learners. All the promoting education activities organize by university with the help of regional centres.

Provide efficient training to the learners through various teaching techniques.

The biggest challenge in distance education is to provide efficient training to the learners. Accordingly, industries like TATA Motors, Ashoka Leyland, TVS, Maruti Suzuki were some of the leading industries with whom the collaboration were initiated.

Special counselling sessions for learners at various locations

The University organizes face-to-face and online counselling sessions through Study Centers at various locations. For example in Yoga and Science programmes practical sessions were organized by the academics of the University at different locations.

Development of research studies in-tune with PhD as per UGC regulation in the campus.

The research department conducts special classes of coursework for research scholar regularly which includes online and face to face sessions.

**Student's Information System (SIS) :** University has a portal (SIS), through which learners can access detailed information about their enrollment in the University  
<https://oneview.uou.ac.in/>.

File Description	Documents
The Innovation Centre/ Cell	<a href="https://uou.ac.in/uouphd">https://uou.ac.in/uouphd</a>
Initiatives taken by the institution	<a href="https://oneview.uou.ac.in/">https://oneview.uou.ac.in/</a>
Any other relevant information	Nil

### 3.3.2 - Workshops / seminars conducted on innovative practices

**3.3.2.1 - Total number of workshops/seminars conducted during the year on: ? Intellectual Property Rights (IPR); ? Open Educational Resources (OERs); ? Massive Open Online Courses (MOOCs); ? Technology-Enabled Learning; ? Learning Management System; ? Development of e-content and**

1

File Description	Documents
Report of the event/ link to the material developed	<a href="#">View File</a>
List of workshops/seminars during the year	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**3.3.3 - Innovative content developed in the form of e-modules / e-SLMs / MOOCs for : A. NMEICT B. NPTEL C. SWAYAM D. e-PG Pathshala E. e-SLMs F. other MOOCs platform G. Institutional LMS**

**3.3.3.1 - Total number of e-content modules developed for any of the platforms listed above.**

28

File Description	Documents
List of the innovative contents developed during the year	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 3.3.4 - Awards for innovation

#### 3.3.4.1 - Number of Awards for innovation received by the Institution, its teachers/other academics/ research scholars/Learners during the year

1

File Description	Documents
Scanned copies of award letters	<a href="#">View File</a>
Award details	<a href="#">View File</a>
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

### 3.4 - Research Publications and Awards

**3.4.1 - Mechanisms to check malpractices and plagiarism in research** The institution has a stated code of ethics for research, the implementation of which is ensured by the following: (during the year) 1) Research methodology with course on research ethics 2) Ethics Committee 3) Plagiarism Check 4) Committee on publication guidelines

B. Any 3 of the above

File Description	Documents
Institutional code of ethics document	<a href="#">View File</a>
Notification for Research Ethics Committee	<a href="#">View File</a>
Minutes of the committee	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 3.4.2 - Ph.D. degrees awarded per recognised research guide of the University.

**3.4.2.1 - Number of Full time teachers recognized as guides by the University as per UGC regulation during the year:****37**

File Description	Documents
Web-link of the Research page	<a href="https://uou.ac.in/uouphd">https://uou.ac.in/uouphd</a>
List of Ph.D. scholars and their details like name of the guide , title of thesis, year of award etc	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**3.4.3 - Research publications – Number of research papers published per teacher of the institution in the Journals notified by UGC care list during the year****113**

File Description	Documents
Web-link of research papers published	<a href="https://uou.ac.in/downloads/12b/Research-and-Innovation/Research%20Publications/">https://uou.ac.in/downloads/12b/Research-and-Innovation/Research%20Publications/</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**3.4.4 - Books and Chapters in edited volumes published per teacher etc.****3.4.4.1 - Number of books and chapters/ units in books/ SLMs published of the institution during the year****200**

File Description	Documents
Web-link of publications	<a href="https://uou.ac.in/programmes">https://uou.ac.in/programmes</a>
Any other relevant information	No File Uploaded
As per Data Template	No File Uploaded

3.4.5 - Citations of the publications Impact Factor of the research publications from the Institution Citations of the publications by teachers and academics during the year based on average Citation index in Scopus/ Web of Science.

Citation of research papers has been referred from Google Scholar and the same has been depicted in the data template attached herewith. Further the total citation w.r.t the google scholar is 200.

File Description	Documents
as per data templates	<a href="#">View File</a>

3.4.6 - h-index of the Institution Details of the publications of the teachers and other academics of the Institution during the year to calculate h-index of the Institution based on the Citations of the publications in Scopus / Web of Science

Details of the h-index are mensined in the attatched documents.

File Description	Documents
Citations of publications based on Scopus / Web of Science – h-index of the institution	<a href="#">View File</a>
Any other relevant information	No File Uploaded
As per Data Template	<a href="#">View File</a>

### 3.5 - Consultancy

3.5.1 - Consultancy Policy The Institution has a policy on consultancy including revenue sharing between the institution and the individual/ agency

Uttarakhand Open University has adopted its Consultancy Policy in the Executive Council of the University. It aims to create systematic provisions for carrying out the consultancy work by the faculty of the University. The objectives of Consultancy Policy of the University are as follows:

To enhance academic endeavours in interdisciplinary, multidisciplinary and interdisciplinary perspectives in collaboration with Universities, Industries, Government Bodies and Academic Institutions.

To act as a change agent in industrial, social and other issues of the State and National importance.

To become an active partner of knowledge exchange with other organizations.

To create, disseminate, retrieve and restore the technical knowhow and knowledge on cross-cutting issues at the University.



To expand and fortify the research abilities and competencies of members of the faculty.

To draw synchronization between the current competencies, and demands in the industry with curriculum.

To promote entrepreneurship development activities and foster employment opportunities through consultancy services.

The consultancy is of three types, viz., Institutional Consultancy, Individual Consultancy and Consultancy for Technical services. Till now we have provided technical consultancy to many institutions on the basis of requests received from these Universities. Similarly, OER policy adopted by Uttarakhand Open University was used by some other Open Universities.

File Description	Documents
Minutes of the Governing Council/ Syndicate/Board of Management related to consultancy policy	<a href="https://www.uou.ac.in/sites/default/files/ec-2022/32-EC-Meeting-Minutes.pdf">https://www.uou.ac.in/sites/default/files/ec-2022/32-EC-Meeting-Minutes.pdf</a>
Policy document on consultancy	<a href="https://uou.ac.in/sites/default/files/2021-10/consultancy-policy.pdf">https://uou.ac.in/sites/default/files/2021-10/consultancy-policy.pdf</a>
Any other relevant information	Nil

### 3.5.2 - Revenue from consultancy – Revenue generated by the Institution from consultancy

#### 3.5.2.1 - Revenue generated from consultancy provided by teachers and other academics of the Institution during the year (INR in Lakhs)

455746.00

File Description	Documents
Audited statements of accounts indicating the revenue generated through consultancy	No File Uploaded
List of teachers and other academics providing consultancy	No File Uploaded
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 3.5.3 - Revenue from training/ seminars/ conferences/ etc. –Revenue generated by the Institution from training / seminars/ conferences/ etc. through sponsorship during the year (INR in lakhs)

150000.00

File Description	Documents
Audited statements of account indicating the revenue generated through training	No File Uploaded
Schedule of the training programmes	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

### 3.6 - Extension Activities

3.6.1 - Extension activities The impact of the extension activities of the Institution in sensitizing the learners and other stakeholders to social and sustainable development issues leading to inclusive society during the year

The University is directing society towards development and a new dimension in the field of development. The motto of university itself says means the devotion fetches knowledge to an individual. Keeping this statement in mind and following in action the university has always motivated so many learners to be devoted in true sense and be a disciplined disciple in their journey to knowledge.

The University organizes many programmes in its premises, schools and different camps at various places. The University has so far conducted "National Nutrition Day", "Cleanliness Campaign", "Forestation and awareness camp for Pollution Control", "Health Camps" which also includes "Eye Camps" and Counselling Programmes for better mental health. Apart from this it has also organized

"Anti Drug Campaign", "Women Empowerment" and "Suicide Prevention Week" from time to time. The university also uses its Community Radio as a Powerful platform for awareness Campaigns and addressal of Social Issues.

The following events were organized.

#### 1. National Nutrition Week

**2. Cleanliness Campaign****3. Plantation and awareness programme for pollution Control****4. Health Camps-Eye Ailments, Mental Health Camps****5. Anti Drug Campaign****6. Women Empowerment****7. Suicide Prevention Week**

File Description	Documents
Brochures of the activities	<a href="https://uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf">https://uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf</a>
Activity Reports	<a href="https://uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf">https://uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf</a>
Any other relevant information	Nil

**3.6.2 - Recognition of extension activities****3.6.2.1 - Number of awards and recognition received for extension activities from Government /recognized bodies during the year:**

00

File Description	Documents
Awards for extension activities	No File Uploaded
Scanned copy of the award letters	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**3.6.3 - Collaborative extension and outreach Programmes****3.6.3.1 - Number of extension and outreach Programmes conducted in collaboration with**

**Community Based Organizations, Government and non-government Organizations during the year**

01

File Description	Documents
Reports of the event organized	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**3.6.4 - Participation in extension activities**

**3.6.4.1 - Number of employees and learners participating in extension activities conducted by the Institution with Government Organizations, Non-Government Organizations and Programmes such as Swachh Bharat, AIDS Awareness, Gender issues, Rights of PwD during the year**

00

File Description	Documents
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded
Report of the event	No File Uploaded

**3.7 - Collaboration****3.7.1 - Collaborative activities with Institutions**

**3.7.1.1 - Number of Collaborative activities for research, programme development and faculty exchange with institutions during the year**

10

File Description	Documents
Scanned copies of collaboration document	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**3.7.2 - Collaborations with industries**

**3.7.2.1 - Number of collaborations with industries for learner exchange, internship, establishing Chairs during the year**

00

**INFRASTRUCTURE AND LEARNING RESOURCES****4.1 - Physical Facilities**

4.1.1 - Facilities available at Institution Headquarters and Regional Centres Infrastructural facilities viz., academic units, administrative units, storage and dispatch units, library, Laboratories, Multimedia Laboratories, Seminar Rooms, Auditorium, warehouses, Media Production, Print Production, etc.

The Institute Headquarters, Regional Centers, and Learner Support Centers of the university are equipped with various facilities. The main university headquarters in Haldwani spans 10 hectares and boasts a green campus. It houses an Administration and Computer block with 15 rooms, a well-equipped Conference Hall, and a material production and distribution department. Additionally, the computer block features a server room, a community radio station named "Hello Haldwani," a library, and a computer lab. The university has expanded with the Administration and Computer block, comprising three floors, including departments like examination, admission, and various schools. Other facilities include a Guest House, VC residence, type II Residences, Science block, Multipurpose Hall, MPDD block, and MSD blocks. The Regional Services Directorate at the headquarters regulates policies for Regional Centers, with eight Regional Centers located in the Garhwal and Kumaun regions. These centers help disseminate program information and offer education through Learner Support. Two Model Learner Support Centers are established for learners' benefit, one at the headquarters and another at the Dehradun Campus, with plans for more in Government PG Colleges in compliance with UGC regulations.

File Description	Documents
Annual report of the Institution	<a href="https://www.uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf">https://www.uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf</a>
Geo-tagged photographs of campus and all other infrastructural facilities	<a href="http://www.uou.ac.in/aqar/2023-24/4.1.1">http://www.uou.ac.in/aqar/2023-24/4.1.1</a>
Any other relevant information	Nil

**4.1.2 - Expenditure incurred for infrastructure augmentation –Percentage of expenditure incurred for infrastructure augmentation**

45.69

**4.1.2.1 - Expenditure incurred for infrastructure augmentation excluding salary during the year (INR in lakhs)**

1320.00

File Description	Documents
Audited utilization statements	<a href="#">View File</a>
Budget allocation for infrastructure	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**4.1.3 - Percentage of the expenditure incurred on maintenance of physical facilities and academic support facilities**

0.03874

**4.1.3.1 - Expenditure incurred on maintenance of physical facilities and academic support facilities excluding salary during the year (INR in lakhs)**

3018164.00

File Description	Documents
Audited statements of accounts.	<a href="#">View File</a>
Budget and Statements of Expenditure	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**4.2 - IT Infrastructure****4.2.1 - ICT enabled facilities at HQs – Percentage of IT enabled rooms and seminar halls of the Institution for academic support at Headquarters.**

100

**4.2.1.1 - Number of rooms and seminar halls for academic support with ICT facilities/Wi-Fi/LAN at the Institution HQs (Data for the latest completed academic year) :**

20

File Description	Documents
Geo- tagged Photographs of IT infrastructure facilities at HQs	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 4.2.2 - ICT enabled facilities at RCs – Percentage of IT enabled rooms and seminar halls of the Institution for academic support in Regional Centres (RCs)

100

##### 4.2.2.1 - Number of rooms and seminar halls for academic support with ICT facilities/Wi-Fi/LAN at Regional Centres (RCs). (Data for the latest completed academic year)

30.

File Description	Documents
Photographs of infrastructure facilities at a few RCs	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 4.2.3 - ICT enabled facilities at LSCs – Percentage of IT enabled rooms and seminar Halls of the Institution for academic support in learner support centres (LSCs)

29

##### 4.2.3.1 - Number of rooms and seminar halls for academic support with ICT facilities/Wi-Fi/LAN at Learner Support Centres (LSCs) (Data for the latest completed academic year)

386

File Description	Documents
Geo – tagged Photographs of infrastructure facilities at a few LSCs	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 4.2.4 - Frequency of updating of IT facilities – Frequency of IT facilities updated at the Headquarters and the Regional Centres of the Institution including website, online system, etc

Uttarakhand Open University uses Information and Communication Technology (ICT) to improve education? A datacenter in Haldwani

houses essential systems like the website, intranet, internet, firewalls, anti-virus, active directory, e-learning portal, and more. Student Information System (SIS) automates tasks like enrollment, exams, book distribution, and payment calculations. Campus has a Wi-Fi network with a 100 Mbps NKN connection and a backup line. e-Granthalaya digital platform makes traditional libraries e-libraries by providing member services and resource sharing on the internet. SIS automates multiple tasks and maintains comprehensive student records. The software's relevance and efficacy are guaranteed by internal maintenance and upgradation. The university's IT infrastructure is protected by regular IT audits. Websites frequently undergo update handled by the information and communication cell. Regional Centers (RCs) are essential to distribute ICT facilities and updates across the university network. University provides Local Study Centers (LSCs) with communications and software packages; RCs and the Director of Regional Services are responsible for providing updates. University uses e-procurement systems like GeM and UK tenders to simplify acquisition and tendering processes. This system encourages competition, lowers costs, and improves the university's commercial activities.

File Description	Documents
Scanned copy of agreement	<a href="http://www.uou.ac.in/aqar/2023-24/4.2.4">http://www.uou.ac.in/aqar/2023-24/4.2.4</a>
Any other relevant information	Nil

#### 4.2.5 - Internet Bandwidth at the HQs and RCs – Available bandwidth of the internet facility at the Headquarters and Regional Centres of the Institution

100-300

File Description	Documents
Relevant documents on available bandwidth of internet connection at the Institution's Head Quarters and Regional Centres	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 4.2.6 - Facilities for media production - Facilities for audio, video and e-content

A. More than 10 of the above



development are available and are in use at the Institution Audio- video and e-Content production facilities: 1. Audio / video studios 2. Outdoor shooting equipment /Outdoor audio recording 3. Post production unit / Editing unit 4. Duplication unit 5. Graphics workstation 6. Direct Reception Sets (DRS) 7. Set Scenic unit 8. Make-up unit 9. E-Platform 10. Workstations with broadband connectivity 11. Cloud space 12. Licensed software 13. Uninterrupted web connectivity 14. IT security system

File Description	Documents
As per Data Template	<a href="#">View File</a>
Geo-tagged photographs of the facilities for audio, video e-content production	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 4.2.7 - Percentage of viewers (learners) to Transmission facilities of the Institution

50

#### 4.2.7.1 - Number of viewers (learners) of transmission facilities (Radio and Television Channels) for the latest completed academic year

80000

File Description	Documents
Geo-tagged photographs of the facilities available	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

4.2.8 - Automation systems The level of automation of different aspects of Institution including the features of Office Automation System/ERP/MIS (Online Support to Learners, Staff, RCs and LSCs)

Uttarakhand Open University uses the most up-to-date information and communication technology for quality enhancement. The university established a ICT cell & data center in Headquarter Haldwani . ICT cell operate all the ICT related work like website management, intranet, E-learning portal, ERP/MIS, Student support

System. Automation system are available for co-ordinate all activities and sharing information of RCs & LSCs of the University. This year ICT cell develops QR Code system for all aspirants. The student information system(SIS) of the University facilitates the process of Admission/Recruitment, Book Distribution, Examination (Admit Card Generation, Marks-Sheet Generation, Trans-script Generation, Degree related), Study Center payment calculations, etc. Automation system has been implemented in MPDD and all function of MPD perform by automation system(purchase order, book receiving .book issued, book return, bar code system, SMS ,student one-view & report) the university update all automation system time-to-time.

File Description	Documents
Any other relevant information	Nil
Automation system	<p>1 <a href="http://elearning.uou.ac.in">E-Learning Platform elearning.uou.ac.in</a>  2 <a href="#">Students' Grievance System</a>  3 <a href="http://support.uou.ac.in/tickets.php">http://support.uou.ac.in/tickets.php</a>  4 <a href="http://apps.uou.ac.in/">Student One View http://apps.uou.ac.in/</a>  5 <a href="#">Attendance Management System</a>  6 <a href="https://career.uou.ac.in/">Recruitment https://career.uou.ac.in/</a>  7 <a href="https://online.uou.ac.in/">Online Admission https://online.uou.ac.in/</a>  8 <a href="https://online.uou.ac.in/econvocation/UoUECONFormAll.aspx">Online Degree Application https://online.uou.ac.in/econvocation/UoUECONFormAll.aspx</a>  9 <a href="https://online.uou.ac.in/Student_SC_Change.aspx">Change of Student Center https://online.uou.ac.in/Student_SC_Change.aspx</a>  10 <a href="https://online.uou.ac.in/SA_DataChange.aspx">Change of Personal Details https://online.uou.ac.in/SA_DataChange.aspx</a>  11 <a href="https://online.uou.ac.in/mpdd">MPDD Uou.ac.in/mpdd</a>  12 <a href="http://ics.uou.edu">Store Management Ics.uou.edu</a>  13 <a href="http://intranet.uou.ac.in">University Intranet website Intranet.uou.ac.in</a>  14 <a href="http://admdesk.uou.ac.in/(S(gq5ealr13n2lqc45w3rge52f))/Default.aspx">Student Information System http://admdesk.uou.ac.in/(S(gq5ealr13n2lqc45w3rge52f))/Default.aspx</a>  15 <a href="https://online.uou.ac.in/UoUStudentExamApplicationTermCondition.aspx">Online exam form entry https://online.uou.ac.in/UoUStudentExamApplicationTermCondition.aspx</a>  16 <a href="http://results.uou.ac.in/">Online Results/marks Sheet download http://results.uou.ac.in/</a></p>

### 4.3 - Learning Resources

4.3.1 - Provision of Learner Support Services Learner Support Services established at the different levels by the Institution (Three tier/ Two tier)

The University has a three-tier system of Learner Support Services, viz., at the head-quarter level, regional centre level and at the LSCs. A detailed account of these support services is as follows;

**A. Head-quarter level:**

There is a kiosk that contains all the relevant details of learners and they may access any information they require.

- Support through the web link - <http://www.support.uou.ac.in> to learners, based on the unique ticketing system.
- Department-wise dedicated live blog, a dedicated LMS to which assignments are uploaded
- The University has a library and a reading room so that learners can consult it to enhance their learning.
- Support services for differently-abled during the examination, and inclusive infrastructure.
- Community radio provides various support services to the learners.

**(B). Regional Centers:** At Regional Centers many services are available:

- Resolution of the queries.
- Laboratories.
- Organising seminars and meetings involving LSCs to monitor and support them.

**(C). Learner Support Centers:** At LSCs, the following system of learner support exists:

Holding regular counselling and practical sessions.

File Description	Documents
List of support services provided at Headquarters, Regional Centres, Learner Support Centres	<a href="#">View File</a>
Organizational chart of support services available	<a href="#">View File</a>
Any other relevant information	No File Uploaded

#### 4.3.2 - Average number of Learners attached to LSCs

788

##### 4.3.2.1 - Number of LSCs in the preceding academic year:

126

File Description	Documents
Enrolment details of the preceding year	<a href="#">View File</a>
Distribution of learners LSC wise	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any additional information	No File Uploaded

4.3.3 - Academic counselling sessions held Regular conduct of academic counselling sessions (for theory and practical courses) at Learner Support Centres under each Regional Centre during the preceding academic year

The mechanism of Counselling Sessions or Personal Contact Programs plays a vital role in distance learning as it overcomes the academic alienation of learners. The counselling sessions enable personal interaction among participants and motivate them to enjoy their studies.

**Counselling Policy:** The University has developed a counselling design based on ODL norms for study hours. The learner has to complete 10% of the study hours out of the total study hours in terms of credits defined for a particular program through face-to-face academic counselling at the Learner Support Centre.

**Counselling Fomats:** Keeping in view the local conditions i.e. remoteness, inaccessibility and paucity of infrastructural facilities in the state, the Uttarakhand Open University has

developed a unique mechanism of support systems for the learners to provide counselling. The University is currently using the following formats for counselling:

File Description	Documents
Monitoring reports of LSCs	<a href="https://uou.ac.in/downloads/Monitoring-Report.pdf">https://uou.ac.in/downloads/Monitoring-Report.pdf</a>
Reports on counselling sessions	<a href="https://uou.ac.in/rsd">https://uou.ac.in/rsd</a>
Any other relevant information	Nil

#### 4.3.4 - Expenditure on Library – Percentage of annual expenditure on library during the year

.0000068

#### 4.3.4.1 - Annual expenditure on library during the year (INR in lakhs)

15601.00

File Description	Documents
Web-link to Library catalogues	<a href="https://eg4.nic.in/UKHED/OPAC/Default.aspx?LIB_CODE=UOUCLIB">https://eg4.nic.in/UKHED/OPAC/Default.aspx?LIB_CODE=UOUCLIB</a>
Web-link to relevant resources available in the library	<a href="https://elibrary.in.pearson.com/">https://elibrary.in.pearson.com/</a> , <a href="https://www.bibliotex.com/home;seoMode=true,mailto:uttarakhandopenuniversity@bhashaparakashan.comhttps://bhashaparakashan.com/https://ebooks.wtbooks.org/">https://www.bibliotex.com/home;seoMode=true,mailto:uttarakhandopenuniversity@bhashaparakashan.comhttps://bhashaparakashan.com/https://ebooks.wtbooks.org/</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

4.3.5 - Library Automation Library is automated in using Integrated Library Management System (ILMS) A. Name and features of the ILMS software B. Nature and extent of automation (full or partial) C. Year of commencement and completion of automation

#### Library Management Software

Library is partial automated using Integrated Library Management System (ILMS)

Name of software

Partial/full

Year of commencement

University has adopted e-Granthalaya which is a digital platform developed by National Information Centre, Ministry of Electronics and Information Technology, Government of India

Partial automation of Library on e-granthalaya

Since 2013

Description: e-Granthalaya is a Digital Platform developed by National Informatics Centre, Ministry of Electronics and Information Technology, Government of India for Government Libraries for automation of In-house activities as well as member services and Networking for resource sharing. e-Granthalaya is useful to transform traditional libraries into e-Libraries with Digital Library Services and to provide various online member services using Single Window Access System. Uttarakhand Open University's library is using e-Granthalaya since 2013 for automation. Entry of books is made in the software with proper cataloguing and classification. University Library partially uses this software for issue/return services. Web OPAC service is also available through the given link on the university's website. Through this facility a user can find the details of books available in our university library at any time. Completion of library automation will be till 2026.

Web-link to Library catalogues -

[https://eg4.nic.in/UKHED/OPAC/Default.aspx?LIB\\_CODE=UOUCLIB](https://eg4.nic.in/UKHED/OPAC/Default.aspx?LIB_CODE=UOUCLIB)

File Description	Documents
Any other relevant information	<a href="https://eg4.nic.in/UKHED/OPAC/Default.aspx?LIB_CODE=UOUCLIB">https://eg4.nic.in/UKHED/OPAC/Default.aspx?LIB_CODE=UOUCLIB</a>
Geo-tagged photographs	<a href="http://www.uou.ac.in/aqar/2023-24/4.3.5">http://www.uou.ac.in/aqar/2023-24/4.3.5</a>

## LEARNER SUPPORT AND PROGRESSION

### 5.1 - Learner Support

5.1.1 - Promotional Activities for Prospective Learners The Institution promotes its programmes for the prospective learners through various activities

Being an open university, UOU provides the assistance prospective students from a variety of backgrounds need to enrol in different courses at any time and from any location. Since many individuals are unfamiliar with the idea of open distance learning, the university's promotional efforts give students a chance to learn about the potential programs and courses that they can enrol in interest.

A promotional tour was conducted by faculty to various remote areas to reach out to the prospective learners.

To reach the young population social media plays a pivotal role. The University has its own YouTube Channel (URL:uttarakhandopenuniversityu1233 ) and its own Facebook Page (URL: <https://www.facebook.com/uouhaldwani/>).

The University has adopted eight villages. Various activities have been organized by the faculty in these villages.

The University has been organizing many events, days, lecture series, etc. All these activities get reported in the Newspapers and hence promote the university.

The University has regularly organized seminars and workshops, through these seminars many learners from other institutes participate and it motivates them to get enrolled at UOU.

Meetings of existing RCs are arranged to instill a sense of confidence amongst the learners through LSCs regarding the legality and equality of the degree.

File Description	Documents
Activities undertaken	<a href="https://www.uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf">https://www.uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf</a>
Any other relevant information	Nil

5.1.2 - Pre-admission Counseling Services Activities undertaken by the Institution for providing pre-admission counseling services to prospective learners and induction of newly enrolled learners at Institution Headquarters, Regional Centers and Learner Support Centers

#### Induction programmes for Newly Enrolled Learners

In the month of July, every year series of pre-counseling meetings are arranged at RCs, LSCs and even in the intermediated colleges of the state.

During these sessions literature of the University like, its brochure, pamphlets, annual report, news-letters and the prospectus are on display at the canopies erected outside the venue.

At head-quarter level apart from the sessions related to the pre-admission counseling, the meetings of the RCs and LSCs are arranged to share with them the strategy of the University in this regard.

Community radio of the University ensures that most of programme coordinators deliver talks about their programmes of study. This talk actually acts as pre-admission council for the prospective learners who are tuned to the wavelength of community radio.

Articles in the news-papers are written for the benefit of prospective learners and these articles are self-explanatory to clear the doubts and confusion about the ODL.

Induction programme for Newly Enrolled Learners: Induction Programs for newly enrolled learners have been a regular practice in some of the departments of the University since a long-time, but, recently these inductions programmes are arranged at the H.Q. and the RCs in a regular manner.



File Description	Documents
Activities undertaken	<a href="https://www.uou.ac.in/announcement">https://www.uou.ac.in/announcement</a>
Any other relevant information	Nil

### 5.1.3 - Online Admission and Related Activities The status and process of online admission including payment of fees

U.O.U offers in both online and offline modes of admission. In Online admission applicants can apply for their desired program through the online portal of the university. Applicants who are seeking admission through the online mode are required to upload scanned copies of original documents along with the application form from university website. These documents may include all academic

certificates and identification documents.

There are some other programs of study which have a provision of entrance test such as MBA, MCA, B.Ed. (ODL), B.Ed. Special and Ph.D. These programs have also online application process, and interested applicants can fill out the entrance test form for their desired programs through the provided online form by university. Once they qualify the entrance test, eligible candidates can proceed with the admission through offline process in university. In this process all the admission form submitted in hard copy format by the learners are collected by admission authorities.

The fee payment of these online admissions can only be made through debit/credit cards or net banking to pay the required fees.

File Description	Documents
Online Admission and related activities	<a href="https://online.uou.ac.in/">https://online.uou.ac.in/</a>
Any other relevant information	Nil

### 5.1.4 - Dispatch of Study Material and related grievance handling mechanisms Strategy followed by the Institution for dispatch of study material to learners and mechanisms to resolve grievances related to Dispatch of Study Material

**STRATEGY FOLLOWED BY THE INSTITUTION FOR DISPATCH OF STUDY MATERIAL TO LEARNERS:**

The Self instructional learning Material production and distribution is one of the important subsystem of open and distance education learning system of Uttarakhand Open University. In the ODL education system, dispatch of SILM to learners has always been a crucial issue and the institutions follow different strategies for this purpose.

It has been the experience at UOU that a single strategy does not serve the purpose so a mix of different strategies is followed to ensure the timely delivery of SILM to the learners located in different locations. Initially UOU used its own vehicle and took the services of reputed courier agencies to deliver the material in different locations. Later some problems were encountered in the bulk delivery of SILM, It resulted in the revision of strategy and presently the University follows only two modes of delivery of SILM, i.e., BNPL is the service of Indian Post and the services of a courier agency have also been taken. Thus, the locations which are not covered by one provider are covered by the other provider and the two complement each-other to ensure the delivery of SILM to learners.

File Description	Documents
Material dispatch related activities	<a href="http://admdesk.uou.ac.in/(S(ymdqgnjemxhc3u4451wwfuego))/Navigate%20Urls.asp,x">http://admdesk.uou.ac.in/(S(ymdqgnjemxhc3u4451wwfuego))/Navigate Urls.asp,x</a> , <a href="http://elearning.uou.ac.in/course/index.php?categoryid=20">http://elearning.uou.ac.in/course/index.php?categoryid=20</a> , <a href="http://elearning.uou.ac.in/course/index.php?categoryid=20">http://elearning.uou.ac.in/course/index.php?categoryid=20</a> , <a href="http://elearning.uou.ac.in">http://elearning.uou.ac.in</a> , <a href="http://admdesk.uou.ac.in/">http://admdesk.uou.ac.in/</a>
Any other relevant information	Nil

**5.1.5 - Attending to learners' queries**  
**Modes/approaches employed by the University to attend to learners' queries include:** 1. Automated interactive voice response system 2. Call centre 3. Online Help Desk 4. Social media 5. App based support 6. Chat Box 7. E-mail Support 8. Interactive radio counselling 9. Teleconferencing 10. Web-conferencing 11. Learner Services

A. Any 8 or more of the above

Centre/ Inquiry Counter 12. Postal communication	
File Description	Documents
Web-link to Online Help Desk, App based support, Chat Box, Interactive radio counselling, Web-conferencing, Learner Services Centre, any other	<a href="https://www.uou.ac.in/contact">https://www.uou.ac.in/contact</a> , <a href="https://support.uou.ac.in/open.php">https://support.uou.ac.in/open.php</a> , <a href="https://www.uou.ac.in/student-corner">https://www.uou.ac.in/student-corner</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded
<b>5.1.6 - Academic counselling services Modes employed by the Institution to provide academic counseling services to its learners include: 1. Face to face counselling sessions 2. Interactive radio counselling 3. Online LMS based counselling 4. Teleconferencing 5. Web-conferencing 6. Laboratory based counselling 7. Internship 8. Workshops 9. Field study 10. Seminar 11. Extended Contact Programme (ECP) 12. Enhancement of Professional Competency (EPC)</b>	<b>B. Any 6-7 of the above</b>
File Description	Documents
Web-link to counselling schedules for current year	<a href="https://www.uou.ac.in/workshop">https://www.uou.ac.in/workshop</a> , <a href="https://www.uou.ac.in/counselling">https://www.uou.ac.in/counselling</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded
<b>5.1.7 - Addressing learners' grievances – The Institution has a transparent mechanism for timely redressal of learner grievances. Percentage of grievances received at HQ and redressed during the year</b>	
<b>100</b>	
<b>5.1.7.1 - Number of grievances received at HQ during the year</b>	
<b>151</b>	

File Description	Documents
Web link to Grievance Redressal Mechanism Committee for learners	<a href="https://uou.ac.in/grievance-redressal">https://uou.ac.in/grievance-redressal</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

5.1.8 - Special Learner Support Centres Reaching out to special learners like persons with disabilities, prison inmates, employees of defense or security forces, transgenders, SC / ST, minorities, women; learners from rural and remote areas etc

Some areas of Uttarakhand bordering with China, Nepal and Himanchal Pradesh are predominantly tribal Earlier tribal used to join general LSCs but now two LSCs have been earmarked for the tribal people and one learner support centre has been earmarked for women. It has been decided by the University to allow a concession of 15% of the program fee to the learners enrolled at these special LSCs. If war widows take admission in this LSC for women, no program fee shall be charged from them and the women who are DIVYANG will also be allowed a concession to the extent of 50%. Some special learner support centers are open different area in Uttarakhand as well as Government College Munsiyari. Sardar Mahipal Tribal P.G College, Sahiya

Dehradun. These two centers are in the area of schedule tribe community to promote the professional and higher education.

Government College Mangalore Haridwar specially promote the higher education for minorities' learners because 70 to 80 % population are minorities. The University take a big efforts for those prisoner who are in the Prisons to give the skill education and higher education by different types of certificate, diploma and degrees. Uttarakhand Open University established LSCs in all Prisons of Uttarakhand.

File Description	Documents
List of Special Learner Support Centres	<a href="http://www.uou.ac.in/aqar/2023-24/5.1.8">http://www.uou.ac.in/aqar/2023-24/5.1.8</a>
Any other relevant information	Nil

**5.1.9 - Financial Support to learners of disadvantaged groups - Percentage of learners of disadvantaged groups benefited by financial support provided by the Government /**

<b>University / or any other during the year</b>	
00	
<b>5.1.9.1 - Number of learners of disadvantaged groups benefited by financial support provided by the Government / University / or any other during the year</b>	
00	
File Description	Documents
Web-link to notifications issued by the Institution	<a href="https://uou.ac.in/announcement/2023/10/3715">https://uou.ac.in/announcement/2023/10/3715</a>
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded
<b>5.2 - Learner Progression</b>	
<b>5.2.1 - Submission of assignments - Percentage of learners submitting assignments</b>	
76	
<b>5.2.1.1 - Number of learners enrolled in the preceding academic year (only newly enrolled) have submitted assignments as per the academic calendar</b>	
30023	
File Description	Documents
Web-link to academic calendar of the Institution	<a href="https://uou.ac.in/announcement/2023/11/3775">https://uou.ac.in/announcement/2023/11/3775</a>
List of programmes on offer	<a href="#">View File</a>
Web-link of assignments of programmes on offer	<a href="https://uou.ac.in/online-assignment">https://uou.ac.in/online-assignment</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded
<b>5.2.2 - Percentage of Newly enrolled learners registered for term end examination</b>	
100	

File Description	Documents
List of programmes on offer	<a href="#">View File</a>
Web-link of examination schedule	<a href="https://uou.ac.in/exam-dept">https://uou.ac.in/exam-dept</a>
Number of learners (only newlyenrolled)registered for term end examinations	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**5.2.3 - Percentage of learners appeared for term end exam**

100

File Description	Documents
List of programmes on offer	<a href="#">View File</a>
Web-link of examination schedule	<a href="https://uou.ac.in/exam-dept">https://uou.ac.in/exam-dept</a>
Number of learners (only freshly enrolled)who have passed term end examination	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**5.2.4 - Percentage of learners passed out term end examination**

44.05

File Description	Documents
List of programmes on offer	<a href="#">View File</a>
Web-link of examination schedule	<a href="https://uou.ac.in/exam-dept">https://uou.ac.in/exam-dept</a>
Number of learners (only freshly enrolled)who have passed term end examination	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**5.2.5 - Placement services provided to the learners****5.2.5.1 - Number of placement drives conducted by the institution for the learners during the year**

00

File Description	Documents
Reports of the campus placement drives	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**5.3 - Alumni Engagement**

5.3.1 - The Alumni Association The Alumni Association/ Chapters (registered and functional) has contributed significantly to the development of the Institution through financial and other support services during the year

The Alumni Association/chapter has contributed significantly to the institution through financial and other support service during the year, description of this Association explained in this report.

**Establishment:** In May 2017, the Student Council for the Uttarakhand Open University was established. On June 14, 2018, the Student Council of the Uttarakhand Open University was formally established. The intent behind the establishment of this council was to promote social cohesion among Uttarakhand Open University students and put a priority on their long-term welfare.

**Account Detail:** The Uttarakhand Open University opened an Alumni Cell Account in Canara Bank, Bareilly Road Haldwani account number is A/C: 110019930995 and name of this account is Mukta Vishwavidyalaya Purv Chhatr Samiti.

**Membership Overview:** A registration fee of 100 Rs. is required for alumni. Following a meeting on September 6, 2022, with the Vice Chancellor, it was determined that students must pay 100 rupees for the Alumni Association at the time of admission. 25 rupees out of that one hundred rupees will be given to the alumni cell to support Alumni Association activities.

File Description	Documents
Details of Alumni Association Activities	<a href="#">Nil</a>
Frequency of meetings of Alumni Association with minutes	<a href="#">Nil</a>
Quantum of financial contribution	<a href="#">Nil</a>
Audited Statement of Accounts of the Alumni Association	<a href="#">Nil</a>
Any other relevant information	<a href="https://uou.ac.in/alumni">https://uou.ac.in/alumni</a>

### 5.3.2 - Alumni Association Involvement -Percentage of graduated learners enrolled in Alumni Association

00

#### 5.3.2.1 - Number of graduated learners enrolled in Alumni Association (in latest completed academic year)

00

File Description	Documents
Web-link to Alumni Association	<a href="https://uou.ac.in/alumni">https://uou.ac.in/alumni</a>
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**5.3.3 - Facilities for Alumni Engagement The Alumni Association facilitates its members by the following 1. online enrolment for its membership 2. online networking amongst its Alumni members 3. online payment of fees 4. donation by Alumni**

A. Any 4 or more of the above



File Description	Documents
Web-link to Alumni Registration Portal	<a href="https://docs.google.com/forms/d/e/1FAIpQLSc10RQf8Ymy9ud1DCZ9_eHt5hsf2AYzvDLoyHQYEyuOLwhVvg/viewform">https://docs.google.com/forms/d/e/1FAIpQLSc10RQf8Ymy9ud1DCZ9_eHt5hsf2AYzvDLoyHQYEyuOLwhVvg/viewform</a>
Web-link to online networks	<a href="https://uou.ac.in/alumni">https://uou.ac.in/alumni</a>
Scan copy of statement of receipts	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

## GOVERNANCE, LEADERSHIP AND MANAGEMENT

### 6.1 - Institutional Vision and Leadership

6.1.1 - Governance in accordance with Mission and Vision The institution has clearly stated Vision and Mission which are reflected in its academic and administrative governance, perspective plans and stakeholder's participation in the decision making bodies leading to institutional excellence

The institution has a clearly stated Vision and Mission which are reflected in its academic and administrative governance, perspective plans, and stakeholder's participation in the decision-making bodies leading to institutional excellence Response. The University has its Vision and Mission statement duly approved by the statutory bodies of the University. The University makes all the efforts to achieve the Mission and be close to the guiding phrases as given in the Vision statement of the University.

#### Response:

The University has its Vision and Mission statement duly approved by the statutory bodies of the University. The University makes all the efforts to achieve the Mission and be close to the guiding phrases as given in the Vision statement of the University. The University has all the statutory bodies which meet regularly and take decisions in order to achieve the Mission of the University. The following may be enumerated as The Planning Board: The Planning Board of the University is a prime body that takes decisions about different types of planning say, academic, infrastructural and financial.

File Description	Documents
Vision and Mission documents approved by the statutory bodies	<a href="https://uou.ac.in/vision">https://uou.ac.in/vision</a> , <a href="https://uou.ac.in/mission">https://uou.ac.in/mission</a>
Report of achievements which led to institutional excellence	<a href="https://uou.ac.in/awards">https://uou.ac.in/awards</a>
Any other relevant information	Nil

6.1.2 - Decentralization and participative management Effective leadership is reflected in various institutional practices such as decentralization and participative management, etc.

University possesses a specific form of organizational structure where the top management delegates decision-making responsibilities and daily operations to middle and lower subordinates for better development of individual departments as well as the university as a whole. According to the Acts, Statutes & Ordinances 2005 of Uttarakhand Open University, responsibilities and powers are decentralized among the following dignitaries: Chancellor, Vice- Chancellor, Directors, Registrar, Finance controller (FC), Exam Controller (EC), and other officers as may be declared by the by- laws to be officers of the University. The Governor is the Chancellor of the University and by virtue of his office shall be the Head of the University.

#### Participative Management:

Different components of the University guarantee the participation of various stakeholders, such as professors, university officers, RCs, and LSCs through the Director RSD, and government agencies through representatives of the State Govt. The presence of the Director RSD ensures the indirect participation of other stakeholders such as learners, RCs, and LSCs. In addition to being last but not least, residents of the state constitute an important group of stakeholders.

File Description	Documents
Information / documents pertaining to leadership	<a href="https://uou.ac.in/book/act-statutes">https://uou.ac.in/book/act-statutes</a>
Any other relevant information	Nil

## 6.2 - Strategy Development and Deployment

6.2.1 - Perspective / Strategic plan and deployment The methodology adopted for developing strategic plan; the mechanism for its deployment, monitoring and assessment of the deliverables

Planning Board of the University not only discusses the academic, infrastructural and financial planning of the University but it also prepares short-term and long-term plans for the University in view of the Vision and Mission of the University. Usually the matters on the agenda of the Executive Council are the items vetted by the different other bodies of the University but even some fresh planning takes place in the meeting of the Executive Council.

Academic Planning in view of the interests of different stakeholders is discussed in various bodies beginning with the Expert Committee to RAC and the Academic Council. Specific Financial Planning and strategic planning related to financial management are discussed in the Finance Committee of the University which prepares two types of budgets.

Deployment of these plans prepared in different bodies is ensured through Action Taken Reports which are mandatorily presented in the next meetings of the respective bodies and before the approval of the Minutes of the last meeting, ATR is carefully evaluated by the members.

File Description	Documents
Minutes of the Governing Council / other relevant bodies for deployment / monitoring the deliverables	<a href="https://uou.ac.in/mom">https://uou.ac.in/mom</a>
Any other relevant information	<a href="#">nil</a>
Perspective / Plan and deployment documents	Nil

6.2.2 - Organizational structure of the Institution Effectiveness and efficiency of functioning of the institutional bodies as evidenced by the policies, administrative setup, appointments, service rules, procedures etc

Implementation of UGCs policies after approval thereof in the statutory bodies and by the Chancellor of the University. The following may be referred to-

1. The earlier and the latest Ph.D. regulation.

2. ODL regulation 2020, 2017, and its amendments.

3. UGC regulations on minimum qualifications for appointment of teachers and other academic staff in universities and colleges and measures for the maintenance of standards in higher education, 2018 dated 18th July 2018. MOUs with LSCs as approved by the Recognition Board and the other authorities.

The appointments against the teaching positions in the University are made on the basis of pan

India advertisement in news-papers as-well-as the periodicals. Panel of experts for this purpose is created by the Chancellor as per the statutory provisions.

Service rules are adhered to since the joining to the retirement of an employee.

Some of the procedures are laid down in the Statutes, and some other procedures are laid down by the State Govt. and the University follows all the procedures as laid down in the Statutes or as prescribed by the State Govt.

File Description	Documents
Organogram of the Institution	<a href="https://uou.ac.in/organizational-structure">https://uou.ac.in/organizational-structure</a>
Annual Report of the preceding academic year	<a href="https://uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf">https://uou.ac.in/annualrep/Final-Annual-Report-2023-24.pdf</a>
Minutes of the meetings of various bodies / relevant committees	<a href="https://uou.ac.in/mom">https://uou.ac.in/mom</a>
Any other relevant information	Nil

**6.2.3 - Implementation of e-governance in different areas of operation Areas of operation of Institution which has e-governance implementation 1. Planning and Development 2. Administration 3. Finance and Accounts 4. Learner Admission and Support 5. Examination**

A. Any 4 or more of the above

File Description	Documents
ERP Document	<a href="#">View File</a>
Screen shots of user interfaces	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 6.3 - Faculty Development or Empowerment Strategies

6.3.1 - Welfare measures for teachers, other academics and non-academic staff The institution has effective welfare measures for teachers, other academics and non-academic staff

The University has a good range of welfare measures for teachers and non-teaching staff of the University including maternity leave, child care leave, sick leave, paternity leave, study leave etc. are enshrined in law and teachers of the University are entitled to the benefits enshrined in these provisions. VC Welfare fund is a great source of financial support for the faculty and staff in times of unforeseen emergencies. For this purpose of academic and professional development teachers are allowed to participate in Orientation Programs, Refreshers Programs, Summer Schools, Winter Schools and FDPs etc. from time to time to enhance their professional competence. Among the faculty and staff of the University to contribute financially to the best of their ability to help a member who is in dire need of such financial assistance in times of medical emergency. Although this is not an official practice, it is so important that no university can afford not to mention it in the list of welfare measures available to the community. Adopted Basani village.

File Description	Documents
Policy document on welfare measures	<a href="https://www.uou.ac.in/citizen-charter">https://www.uou.ac.in/citizen-charter</a>
List of beneficiaries of welfare measures	<a href="#">nil</a>
Any other relevant information	Nil

### 6.3.2 - Percentage of Financial support for faculty developmen

00

6.3.2.1 - Number of teachers and other academics provided with financial support to attend conferences / workshops and towards membership fee of professional bodies during the year

00

File Description	Documents
Letters to teachers and other academics provided with financial support to attend conferences, workshops etc.	No File Uploaded
As per Data Template	No File Uploaded
Any other relevant information	No File Uploaded

**6.3.3 - Average number of programmes organised for professional development**

01

**6.3.3.1 - Number of professional development / administrative training Programmes organized by the University for teachers, other academics and non-academic staff during the year**

01

File Description	Documents
Schedules of programmes organized for teachers, other academics and non-academic staff	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

**6.3.4 - Percentage of Teachers and other academics attended Professional Development Programmes (PDPs)**

100

**6.3.4.1 - Number of teachers and other academics attended Professional Development Programmes, viz.: Orientation Programme, Refresher Programme, Faculty Development Programme (FDP), during the year**

156

File Description	Documents
CIQA report summary	<a href="#">View File</a>
Reports of the Human Resource Development Centres (UGC ASC or other relevant centers).	No File Uploaded
Letters to teachers and other academics attending PDPs during the year (Data Template)	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 6.3.5 - Non- academic staff attending administrative training Programmes - Percentage of full time non-academic staff attended training Programmes, during the year

01

#### 6.3.5.1 - Number of full time non-academic staff attended training Programmes during the year

01

File Description	Documents
CIQA report summary	<a href="#">View File</a>
Letters to non-academic staff attending administrative training programmes	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

6.3.6 - Mechanism of performance appraisal system, promotion for teachers, other academics and non-academic staff Institution has performance appraisal system for teaching, promotion for teachers, other academics and non-academic staff

#### Performance Appraisal Policy for Employees

The University has established separate policies for the promotion of its employees, including teachers, academic consultants and non- teaching staff. The promotion system for teachers aligns with the University Grants Commission (UGC) regulations Act-271, with the Executive Council adopting the latest UGC regulations issued in 2018. Recently, the Center for Internal Quality Assurance (CIQA) has developed an HRIS portal, housing all relevant academic information about faculty members. This portal serves as a handy

reference for submitting performance appraisals and all teacher appraisal sheets are vetted by CIQA.

As for academic consultants, the performance appraisal system follows a process approved by the Executive Council.

For regular non-teaching staff, the University follows the performance appraisal rules set by the Government of Uttarakhand. Their appraisal includes a confidential report provided by the officer in charge of the concerned non-teaching staff.

Meanwhile, non-teaching staff engaged through outsourcing agencies undergo performance appraisal using an approved proforma. They provide their performance feedback for a six-month period, which is then verified by the relevant officer.

File Description	Documents
Performance appraisal policy of the Institution	<a href="https://www.uou.ac.in/downloads/ciga/CIQA-AR-2023-24/Assessment%20Criteria%20for%20Assistant%20professor%20AC.pdf">https://www.uou.ac.in/downloads/ciga/CIQA-AR-2023-24/Assessment%20Criteria%20for%20Assistant%20professor%20AC.pdf</a> , <a href="https://uou.ac.in/sites/default/files/2021-1%201/performance-appraisal-policy.pdf">https://uou.ac.in/sites/default/files/2021-1%201/performance-appraisal-policy.pdf</a>
Document on promotion/CAS for teachers, other academic and non-academic staff	<a href="https://www.uou.ac.in/ciga">https://www.uou.ac.in/ciga</a>
Any other relevant information	Nil

## 6.4 - Financial Management and Resource Mobilization

6.4.1 - Regular internal and external financial audits Institution conducts internal and external financial audits during the year

Internal and external financial audits are a statutory obligation on the part of the University because it is created by the State Government and the rules of the State Government apply to this State University as well. The Finance Officer is to keep a check on the finances of the University. Statute 7 (1-4) of Uttarakhand Open University declare that the Finance Officer shall be responsible for the management of internal audits of the University. The external audit is carried out by the Directorate of Audit, Government of Uttarakhand. The Office of the Accountant General (AG), Government of India may also inspect the financial decisions and administrative orders related thereto. The internal



audit of the University is carried out by a firm of Chartered Accountants who check and verify the incomes, expenditures, and the balance sheet of the University, as prepared by the accounts section of the University. This audit firm also ensures compliance regarding provisions of various other Acts like Income Tax Act (TDS) and GST etc. Finally, the audit firm issues an audit certificate mentioning the main points related to their observations.

File Description	Documents
Policy on internal and external audit mechanisms	<a href="http://www.uou.ac.in/aqar/2023-24/6.4.1">http://www.uou.ac.in/aqar/2023-24/6.4.1</a>
Financial audit reports during the year	<a href="http://www.uou.ac.in/aqar/2023-24/6.4.1">http://www.uou.ac.in/aqar/2023-24/6.4.1</a>
Any other relevant information	Nil

6.4.2 - Mobilization and utilization of resources Institutional strategies for mobilization of funds and optimum utilization of resources

Uttarakhand Open University being a government sector generates its main source of revenue from the fees received from the learners enrolled under various programs of the university. These are as follows:

The corpus fund of the university has been created with a principal sum of rupees 7,28,05,267 cr. for the year 2023-24. University generates maximum income by way of interest, for this purpose university asks nationalized banks to submit their interest rate and the university selects a bank that allows maximum interest. This income is used in times of certain sudden requirements. Security deposits received from the Learners Support Centres are kept in the form of fixed deposits for the duration of the continuance of MOUs with the LSCs. Printing is a major expenditure of the university and to control this expenditure on printing all judicious measures are taken like E-tendering, empanelment of more than one firm for this purpose. Every year a budget is prepared for the University by the Finance Committee, which shows the university's revenue and expenditure.

File Description	Documents
Resource Mobilization policy document duly approved by the Board of Management / Syndicate / Governing Council	<a href="http://www.uou.ac.in/agar/2023-24/6.4.2">http://www.uou.ac.in/agar/2023-24/6.4.2</a>
Procedures for optimal resource utilization	<a href="http://www.uou.ac.in/agar/2023-24/6.4.2">http://www.uou.ac.in/agar/2023-24/6.4.2</a>
Any other relevant information	Nil

### 6.4.3 - Percentage of Expenditure on Learner Support Services

1.57

#### 6.4.3.1 - Expenditure by the Institution on learner support services (excluding salary and capital expenditure) during the year (INR in Lakhs)

65228142.78

File Description	Documents
Statement of expenditure during the year	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

### 6.5 - Internal Quality Assurance System

**6.5.1 - Institutionalizing the quality assurance through CIQA Details of the activities of CIQA listed below: 1. Programme Project Reports (PPRs) prepared 2. Workshops/ seminars organized on quality related themes 3. Innovative practices implemented for quality enhancement 4. Initiatives undertaken for system based research 5. Feedback mechanisms developed for different stakeholders**

**B. Any 3 of the above**

File Description	Documents
Scan copies of programme schedules	<a href="#">View File</a>
Reports of the activities	<a href="#">View File</a>
As per Data Template	<a href="#">View File</a>
Any other relevant information	No File Uploaded

6.5.2 - Reforming institutional processes Impact Analysis of various initiatives carried out and used for quality improvement with reference to learner performance, teaching-learning, assessment process and learning outcomes, research, learner and other stakeholders feedback, administrative reforms, financial management, etc

The university foremost motive is to improve the institution's performance with reforming all the elements of activity. Some of the major areas covered for the improvement of learner's performance are as follows: The launch of one view portal the learners can quickly access and check their status directly from the platform. It offers various benefits such as convenience for learners, transparency in the academic records, time-saving process, and improved communication process between the University and its learners. Video based learning is an important tool of e-learning which enables to provide knowledge and skill to the learners and availability of visual contents at their own convenience. Availability of assignment improves the learning process of learners. The learners get the opportunity to clear their concept, improves their academic performance, and learn the time management as to how the work assigned is done within the allotted duration. A system of feedback from various stakeholders has been in place in the University for a long time and these feedbacks are carefully analysed forming the basis of reforms in the institution.

File Description	Documents
Documents / information on the process and results of Impact Analysis	Nil
Relevant Reports/ Minutes approved by concerned Authorities	Nil
Any other relevant information	Nil

**INSTITUTIONAL VALUES AND BEST PRACTICES****7.1 - Institutional Values and Social Responsibilities**

7.1.1 - Measures initiated by the Institution for the promotion of gender equity during the year. Describe gender equity & sensitization in curricular and co-curricular activities, facilities for women on campus within 100 - 200 words

Uttarakhand Open University follows a policy of appropriate sensitivity with regard to gender in the University campus. In order to ensure such an environment the following arrangements with regard to the provisions of internal administration has been ensured :

1.Safety and Security at the Workplace : Regarding safety and security at the work place all the suitable arrangements like provision of security guards, restricted entry inthe University campus, CCTV cameras, separate female toilets, etc.have been ensured. 2.Sexual Grievances Redressal Committee : The University has the provision of redressing sexual harassment related grievances in a proper manner. 3.Major Responsibilities for Female Members : The female members of the University are assigned important and critical responsibilities, so that they feel themselves to be an active part of the University's functioning. 4.Facility of Creche : The University has the facility of creche and restroom and as such young children can be kept in the creche while their mothers work in the campus. 5.Special Facilities for Divyang Female workers : The University has facilities of wheelchair and ramps for the divyang female employees of the University.

File Description	Documents
Annual gender sensitization action plan	Nil
Specific facilities provided for women in terms of: a. Safety and security at the work place b. Committees to address Prevention of Sexual Harassment c. Common Rooms d. Day care centre for children of the staff e. Any other relevant information	<a href="https://uou.ac.in/sexualharassment">https://uou.ac.in/sexualharassment</a>

**7.1.2 - The Institution has facilities for alternate sources of energy and energy**

A. 4 or All of the above

<b>conservation measures Solar energy Biogas plant Wheeling to the Grid Sensor- based energy conservation Use of LED bulbs/ power efficient equipment</b>	
<b>File Description</b>	<b>Documents</b>
Geo-tagged Photographs	<a href="#">View File</a>
Any other relevant information	<b>No File Uploaded</b>
as per data template	<b>No File Uploaded</b>
7.1.3 - Describe the facilities in the Institution for the management of the following types of degradable and non-degradable waste (within 200 words) A. Solid waste management B., Liquid waste management C. Biomedical waste management D. E-waste management E.Waste recycling system F. Hazardous chemicals and radioactive waste management	
<b>Not available</b>	
<b>File Description</b>	<b>Documents</b>
Relevant documents like agreements/MoUs with Government and other approved agencies	<b>Nil</b>
Geo-tagged photographs of the facilities	<b>Nil</b>
Any other relevant information	<b>Nil</b>
<b>7.1.4 - Water conservation facilities available in the Institution: Rain water harvesting Bore well /Open well recharge Construction of tanks and bunds Waste water recycling Maintenance of water bodies and distribution system in the campus</b>	<b>A. All of the Above</b>
<b>File Description</b>	<b>Documents</b>
Geo-tagged photographs / videos of the facilities	<a href="#">View File</a>
Any other relevant information	<b>No File Uploaded</b>
as per data templates	<a href="#">View File</a>
<b>7.1.5 - Green campus initiatives include</b>	

<p><b>7.1.5.1 - The institutional initiatives for greening the campus are as follows:</b></p> <ol style="list-style-type: none"> <li><b>1.Restricted entry of automobiles</b></li> <li><b>2.Use of bicycles/ Battery-powered vehicles</b></li> <li><b>3.Pedestrian-friendly pathways</b></li> <li><b>4.Ban on use of plastic</b></li> <li><b>5.Landscaping</b></li> </ol>	<p><b>A. Any 4 or All of the above</b></p>												
<table border="1"> <thead> <tr> <th data-bbox="76 566 539 633">File Description</th> <th data-bbox="539 566 1445 633">Documents</th> </tr> </thead> <tbody> <tr> <td data-bbox="76 633 539 734">Geo-tagged photos / videos of the facilities</td> <td data-bbox="539 633 1445 734" style="text-align: center;"><a href="#">View File</a></td> </tr> <tr> <td data-bbox="76 734 539 880">Various policy documents / decisions circulated for implementation</td> <td data-bbox="539 734 1445 880" style="text-align: center;"><a href="#">View File</a></td> </tr> <tr> <td data-bbox="76 880 539 947">Any other relevant documents</td> <td data-bbox="539 880 1445 947" style="text-align: center;">No File Uploaded</td> </tr> <tr> <td data-bbox="76 947 539 1014">as per data templates</td> <td data-bbox="539 947 1445 1014" style="text-align: center;">No File Uploaded</td> </tr> </tbody> </table>	File Description	Documents	Geo-tagged photos / videos of the facilities	<a href="#">View File</a>	Various policy documents / decisions circulated for implementation	<a href="#">View File</a>	Any other relevant documents	No File Uploaded	as per data templates	No File Uploaded			
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Any other relevant documents	No File Uploaded												
as per data templates	No File Uploaded												
<p><b>7.1.6 - Quality audits on environment and energy are regularly undertaken by the institution</b></p>													
<p><b>7.1.6.1 - The institutional environment and energy initiatives are confirmed through the following 1.Green audit 2. Energy audit 3.Environment audit 4.Clean and green campus recognitions/awards 5. Beyond the campus environmental promotional activities</b></p>	<p><b>D. Any 1 of the above</b></p>												
<table border="1"> <thead> <tr> <th data-bbox="76 1384 539 1451">File Description</th> <th data-bbox="539 1384 1445 1451">Documents</th> </tr> </thead> <tbody> <tr> <td data-bbox="76 1451 539 1585">Reports on environment and energy audits submitted by the auditing agency</td> <td data-bbox="539 1451 1445 1585" style="text-align: center;"><a href="#">View File</a></td> </tr> <tr> <td data-bbox="76 1585 539 1686">Certification by the auditing agency</td> <td data-bbox="539 1585 1445 1686" style="text-align: center;">No File Uploaded</td> </tr> <tr> <td data-bbox="76 1686 539 1798">Certificates of any awards received</td> <td data-bbox="539 1686 1445 1798" style="text-align: center;">No File Uploaded</td> </tr> <tr> <td data-bbox="76 1798 539 1865">Any other relevant information</td> <td data-bbox="539 1798 1445 1865" style="text-align: center;">No File Uploaded</td> </tr> <tr> <td data-bbox="76 1865 539 1933">as per data templates</td> <td data-bbox="539 1865 1445 1933" style="text-align: center;">No File Uploaded</td> </tr> </tbody> </table>	File Description	Documents	Reports on environment and energy audits submitted by the auditing agency	<a href="#">View File</a>	Certification by the auditing agency	No File Uploaded	Certificates of any awards received	No File Uploaded	Any other relevant information	No File Uploaded	as per data templates	No File Uploaded	
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<p><b>7.1.7 - The Institution has disabled-friendly, barrier free environment Built environment with ramps/lifts for easy access to classrooms.</b></p>	<p><b>B. Any 3 of the above</b></p>												

**Disabled-friendly washrooms Signage including tactile path, lights, display boards and signposts Assistive technology and facilities for persons with disabilities (Divyangjan) accessible website, screen-reading software, mechanized equipment**  
**5. Provision for enquiry and information : Human assistance, reader, scribe, soft copies of reading material, screen reading**

File Description	Documents
Geotagged photographs / videos of the facilities	<a href="#">View File</a>
Policy documents and information brochures on the support to be provided	<a href="#">View File</a>
Details of the Software procured for providing the assistance	No File Uploaded
Any other relevant information	No File Uploaded
as per data templates	No File Uploaded

7.1.8 - Describe the Institutional efforts/initiatives in providing an inclusive environment i.e., tolerance and harmony towards cultural, regional, linguistic, communal socioeconomic and other diversities (within 200 words).

The university is proactively taking efforts in providing an inclusive environment. The initiatives are to promote better education, economic upliftment, setting regional and communal harmony.

a. To encourage the use mother tongue, a two day language festival was organised in which various artists took part in it. This initiative will help the native speakers to flourish regional and linguistic harmony by promoting use of mother tongue i.e. Kumaoni and Garhwali. This will help to enforce communal harmony.

b. The practices which have contributed for better academic and administrative functioning of the university by implementing ICT based learning and teaching through online courses. This enforces socio-economic harmony as online course reduces the cost and provides flexibility and personalized education to the learners.

c. Tree plantation was done by planting saplings in university on

Harela, a festival celebrated in Kumaon region of Uttarakhand which signifies greenery, peace, prosperity and environmental conservation.

d. A special broadcast for the festivals is also done with the help of community radio centre from university which establishes cultural harmony. The speakers engage themselves with listeners by interacting in the native language which helps to create a greater community if language spoken in Uttarakhand.

File Description	Documents
Supporting documents on the information provided (as reflected in the administrative and academic activities of the Institution)	<a href="https://www.uou.ac.in/annual-reports">https://www.uou.ac.in/annual-reports</a>
Any other relevant information	Nil

7.1.9 - Sensitization of students and employees of the institution to constitutional obligations: values, rights, duties and responsibilities of citizens:

The University organizes various programs from time to time for the promotion of Constitutional values, rights, duties, and responsibilities of citizens. Uttarakhand Open University, celebrates Independence Day and Republic day every year. During national festivals, the University hoists the flag and recites the National Anthem, and welcomes distinguished guests to motivate students and faculty by enlightening them about the virtues of freedom warriors and emphasising citizens duties and responsibilities. In view of the celebration of 75 years of India's Independence - 'Azadi Ka Amrit mahotsav', many programmes held in our University premises. To equip students with the knowledge, skill, and values that are necessary for sustaining one's balance between a livelihood and life. A separate NSS unit is started exclusively to encourage the students and the unit is successfully conducting activities to serve the society. A legal aid center have been started in the University. This center will provide free legal information to the socially deprived population. Constitution day and State day foundation was also celebrated every year. In addition to these many regular programs, learned faculties give their lectures through our community radio centre "Hello Haldwani" to increase the legal awareness and responsibilities of citizens.



File Description	Documents
Details of activities that inculcate values necessary to nurture Learners to become responsible citizens	<a href="https://www.uou.ac.in/aqar/2023-24/7.1.9">https://www.uou.ac.in/aqar/2023-24/7.1.9</a>
Any other relevant information	Nil

**7.1.10 - The Institution has a prescribed code of conduct for students, teachers, administrators and other staff and conducts periodic programmes in this regard. The Code of Conduct is displayed on the website There is a committee to monitor adherence to the Code of Conduct Institution organized professional ethics programmes for students, teachers, administrators and other staff during the year Annual awareness programmes on Code of Conduct were organized during the year**

**C. Any 2 of the Above**

File Description	Documents
Code of conduct and ethics policy document	<a href="#">View File</a>
Details of the monitoring committee composition and minutes of the committee meeting, number of programmes organized, reports on the various programs etc., in support of the claims.	<a href="#">View File</a>
Any other relevant information	No File Uploaded
as per data templates	<a href="#">View File</a>

**7.1.11 - Institution celebrates / organizes national and international commemorative days, events and festivals**

• Local festival "Harela" (dedicated to protection of Greenery), was celebrated on 16 July 2023 by plantation of some fruit plants by the department of environmental science.

• On 15th August, the Independence Day is celebrated. Floral tributes are offered to the National heroes on the 'Wall of

Heroes', which has been created in the premises of the University. Further, plantation work is carried out in the Campus keeping in view the landscape of the campus and its surroundings.

- On the occasion of Teachers day Retired Prof H.P. Shukla honoured with Best teacher award by the acting Vice Chancellor Prof, RC. Misra. He says university is not made by bricks and cement, it's a free sky.

- On 2nd October, Gandhi Jayanti is celebrated in a very befitting manner. Members of the University fraternity gather in one hall, the programs related to the prayers, very dear to Gandhiji are recited and a Memorial Lecture is delivered by some internal or external expert.

The first Memorial Lecture on Gandhi Jayanti was delivered by Prof. R. C. Mishra in the year 2015. Since then the tradition continues. Later, all the members of the University take part in the cleanliness drive in and around the Campus.

File Description	Documents
Annual report of the celebrations and commemorative events during the year	<a href="https://www.uou.ac.in/annual-reports">https://www.uou.ac.in/annual-reports</a>
Geo-tagged photographs of some of the events	<a href="https://www.uou.ac.in/annual-reports">https://www.uou.ac.in/annual-reports</a>
Any other relevant information	Nil

## 7.2 - Best Practices

7.2.1 - Describe at least two institutional best practices (as per NAAC format given on its website)  
Describe any two best practices successfully implemented by the institution as per NAAC format

### Practice 1.

1. Title: MONITORING THE STUDENTS

2. Objectives:

To minimize dropouts and assess higher education to all. Improve performance and reduce stress of the students through counseling.

3. The Context:

Mentoring provides stability and clarity in thinking and decision making.

#### 4. The Practice:

Each faculty member is assigned a regular counseling to resolve learner quarries.

Resolve the issues raised by the learner's.

#### 5. Evidence of Success:

Better university ranks, good examination results, less dropouts, increased participation in higher education.

#### Practice 2.

Title: TEACHING - LEARNING PROCESS

#### 2. Objectives:

To ensure the completion of syllabus.

To encourage faculties to adapt to advance pedagogical methods with ICT adoption.

To improve pass percentage in each semester

#### 3. The Context:

It is essential for teacher to adopt the latest pedagogic styles and include ICT in counseling.

#### 4. The Practice:

Academic calendar for counseling session, workshop etc is planned by the respective department.

Each notification is uploaded on the website. Assignments, dissertation and evaluation are conducted at scheduled dates.

#### 5. Evidence of Success:

All faculties have adopted new pedagogic styles and ICT in their session.

**Improvement in results.**

File Description	Documents
Best practices as hosted on the Institutional website	<b>Nil</b>
Any other relevant information	<b>Nil</b>

**7.3 - Institutional Distinctiveness**

7.3.1 - Portray the performance of the Institution in one area distinctive to its priority and thrust within 200 words

**nil**

File Description	Documents
Appropriate webpage in the Institutional website	<b>Nil</b>
Any other relevant information	<b>Nil</b>